

# Functional Maps

## A Flexible Representation of Maps Between Shapes

Seminar: 3D Shape Matching and Applications in Computer Vision

Yizheng Xie

Organisers: Viktoria Ehm, Maolin Gao



Ovsjanikov, M., Ben-Chen, M., Solomon, J., Butscher, A., & Guibas, L. (2012). Functional maps: a flexible representation of maps between shapes. ACM Transactions on Graphics (ToG), 31(4), 1-11.

Sharp, N., Attaiki, S., Crane, K., & Ovsjanikov, M. (2022). Diffusionnet: Discretization agnostic learning on surfaces. ACM Transactions on Graphics (TOG), 41(3), 1-16.

# Outline

## 1 Intuition

## 2 Functional Map Fundamentals

## 3 Historical Background

## 4 Applications

## 5 Conclusions & Future Work

Ovsjanikov, M., Ben-Chen, M., Solomon, J., Butscher, A., & Guibas, L. (2012). Functional maps: a flexible representation of maps between shapes. ACM Transactions on Graphics (ToG), 31(4), 1-11.

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## Functional Maps: A Flexible Representation of Maps Between Shapes

Maks Ovsjanikov<sup>†</sup>

Mirela Ben-Chen<sup>‡</sup>

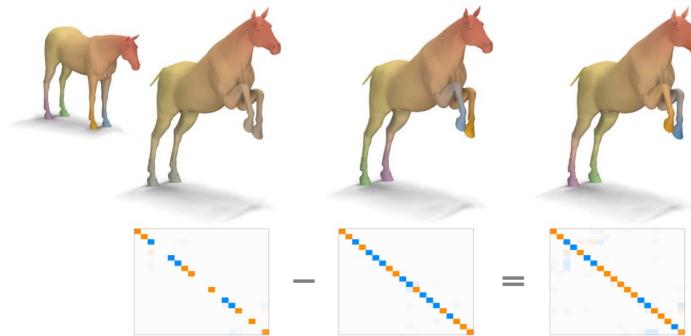
Justin Solomon<sup>‡</sup>

Adrian Butscher<sup>‡</sup>

Leonidas Guibas<sup>‡</sup>

<sup>†</sup> LIX, École Polytechnique

<sup>‡</sup> Stanford University



Small

Accurate

Efficient

Flexible

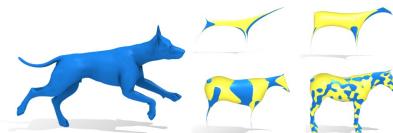
## Extensively studied for the past decade



[Rodolà et al. 2017]



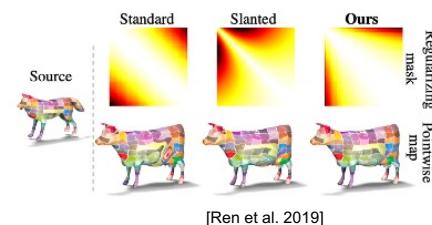
[Donati et al. 2022]



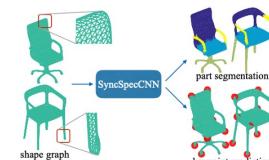
[Eisenberger et al. 2020]



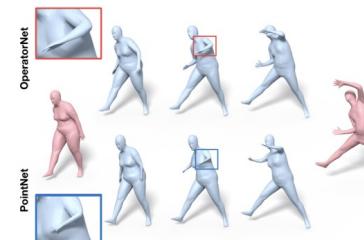
[Rustamov et al., 2013]



[Ren et al. 2019]



[Yi et al. 2017]



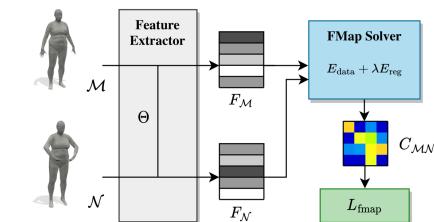
[Huang et al. 2019]



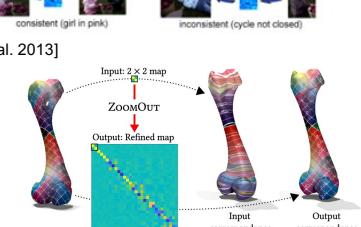
[Wang et al. 2013]



Donati et al. 2020



[Cao et al. 2023]



[Melzi et al. 2019]

*... and more*

## 2023 Test-of-Time Award



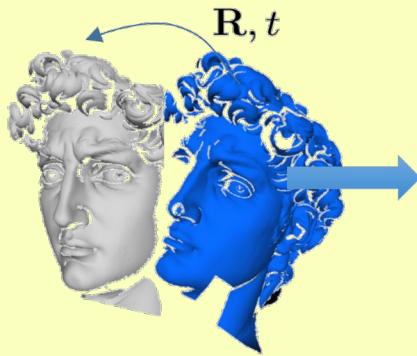
Aug. 2023

<https://blog.siggraph.org/2023/07/siggraph-2023-technical-papers-awards-best-papers-honorable-mentions-and-test-of-time.html/>

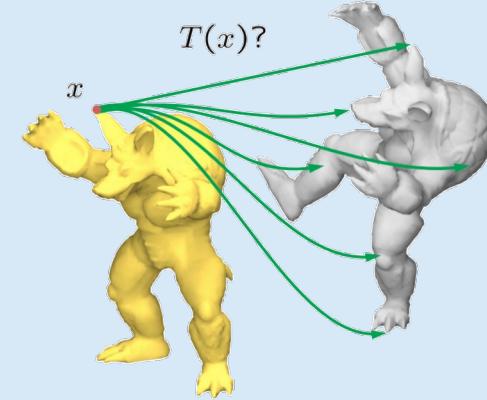
<https://twitter.com/AdamWHarley/status/1688661551744798721>

# 1 Intuition

# Background



Rigid alignment constraint is a  $4 \times 4$  matrix



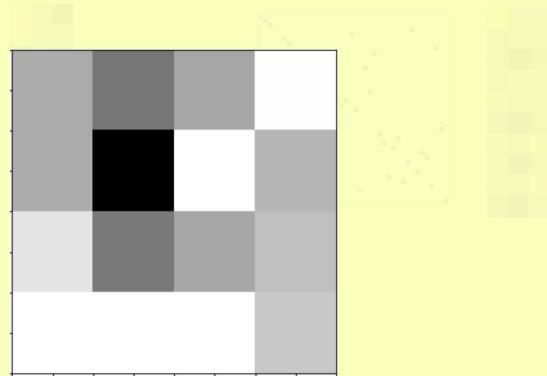
Non-rigid, no compact constraint

# Solution Space

Rigid  
 $4 \times 4 \text{ Rt}$

aligns  
xyz  
coordinates

Alignment to  
correspondences

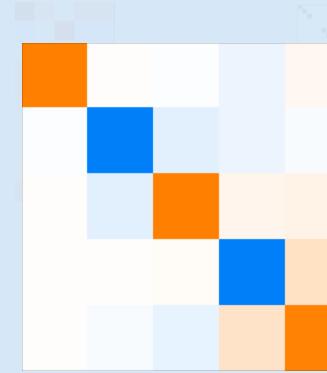


Search for  
Nearest Neighbor  
in xyz  
coordinates

Non-rigid  
 $k \times k \text{ C}$

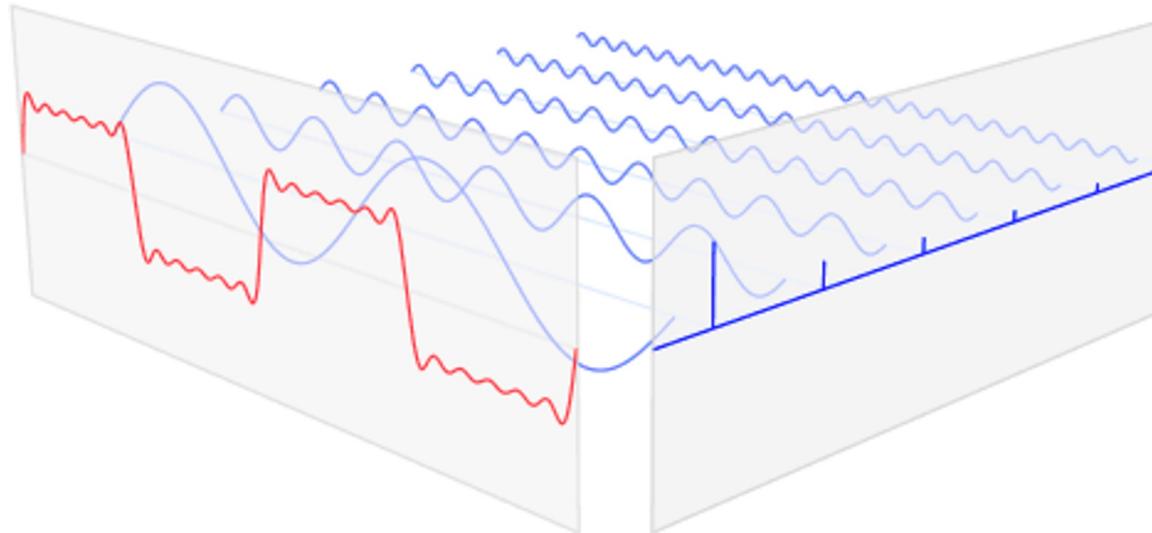
aligns  
spectral  
embeddings

Alignment to  
correspondences



Search for  
Nearest Neighbor  
In spectral  
embeddings

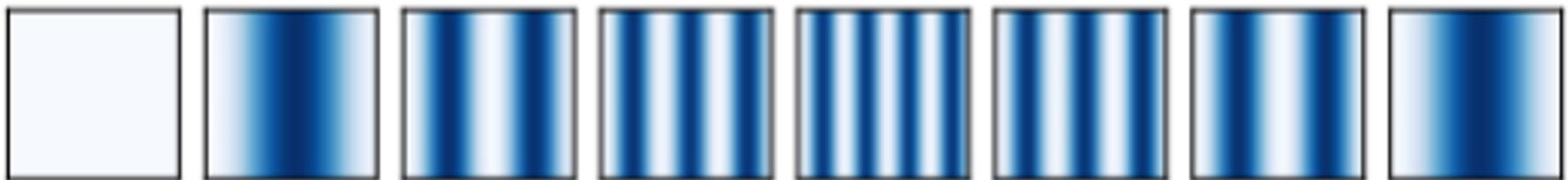
## 1D Continuous Fourier Analysis



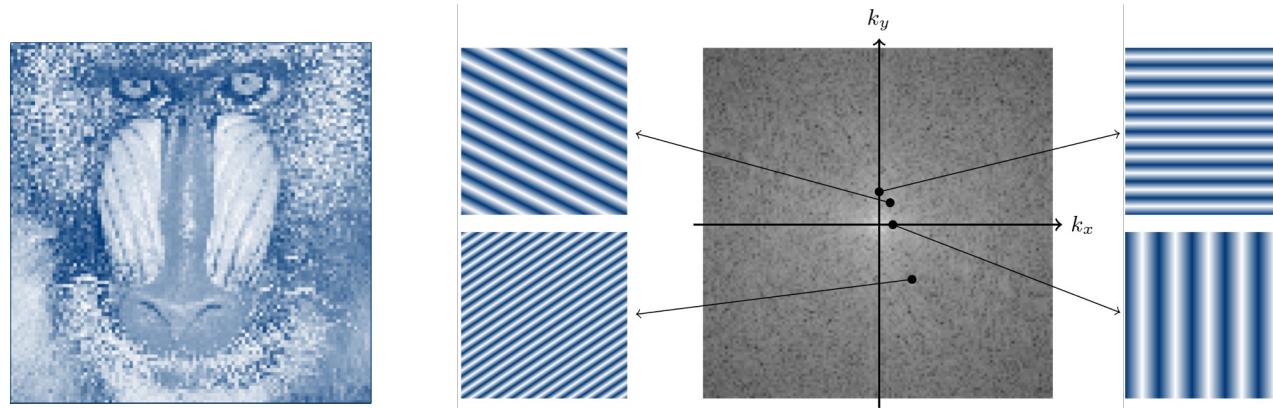
$$F = a_0 * \phi_0 + a_1 * \phi_1 + \dots$$

## Fourier Image analysis:

### Discretized 2D Grid / Image

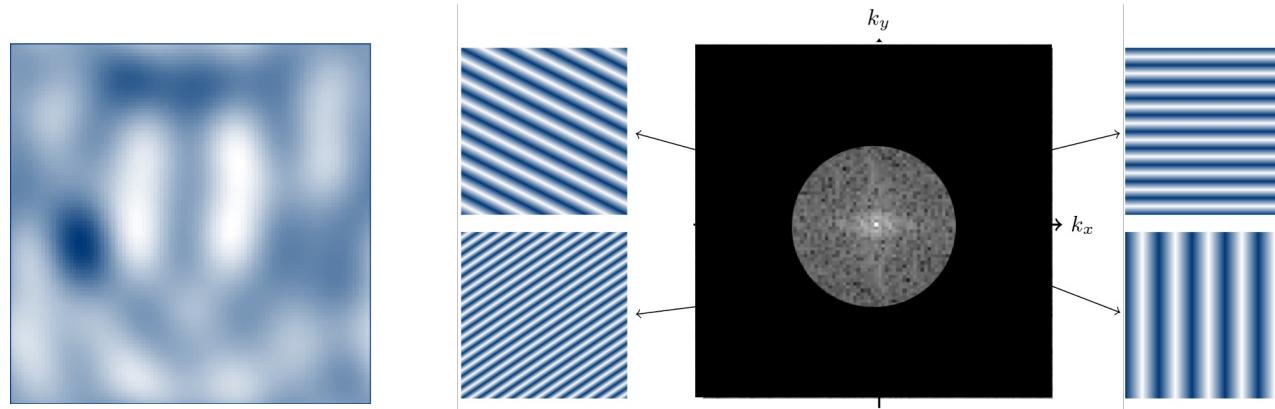


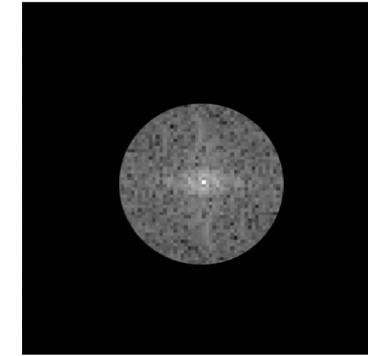
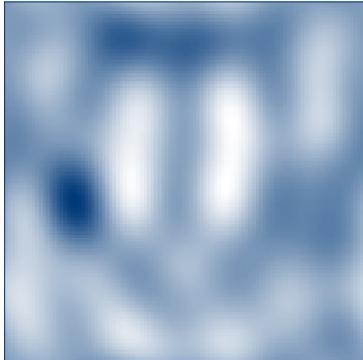
Fully encodes image information into **frequency coefficients**



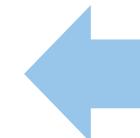
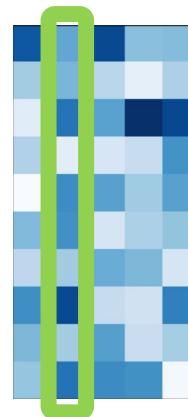
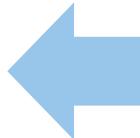
## Image compression:

Truncated coefficients to only low frequency



 $f$ 

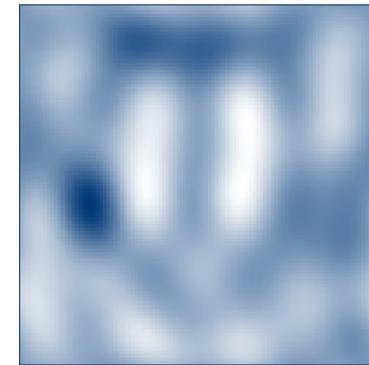
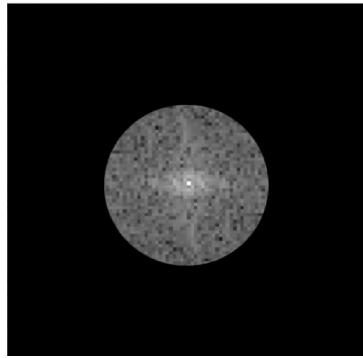
=

 $\Phi$  $a$ 

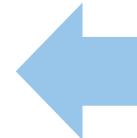
Each column vector represents an entire image

Fourier Basis Functions are **orthogonal**

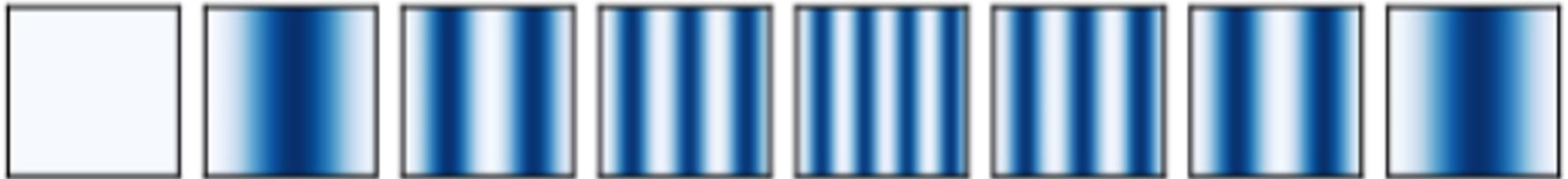
From coefficients to reconstructed image



$$a = \Phi^{-1} \cdot f$$

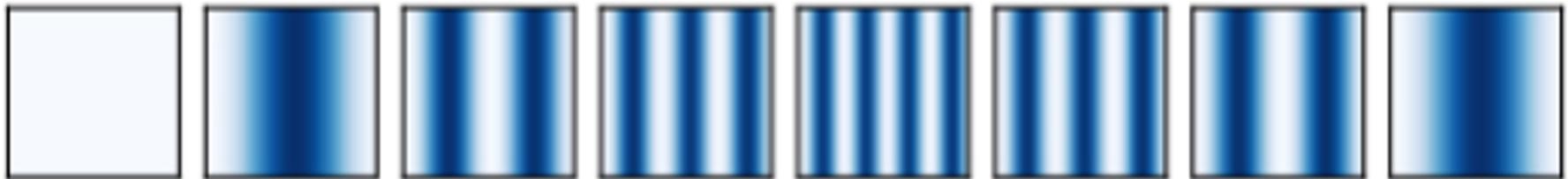


From an image, project to spectral coefficients

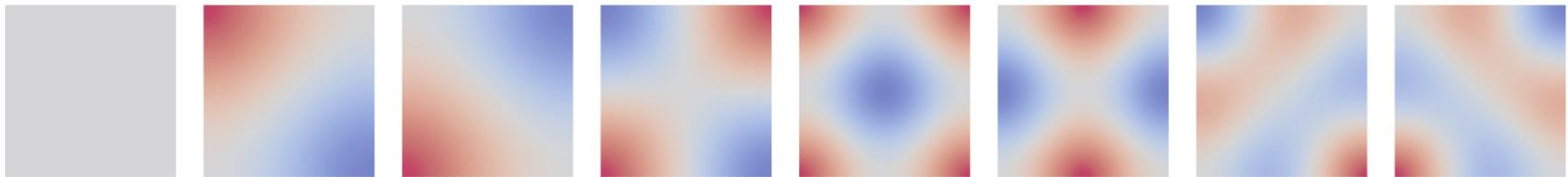


Same idea to 3d shape correspondence?

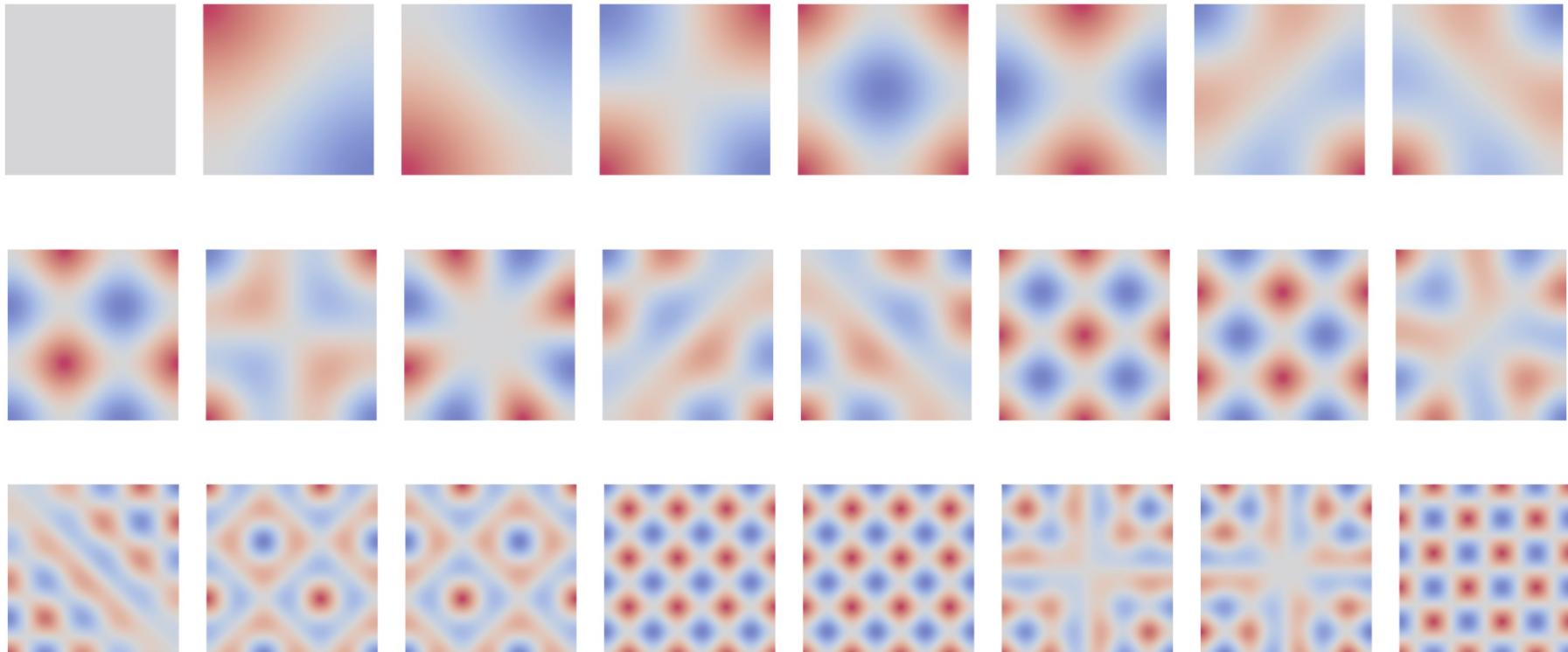




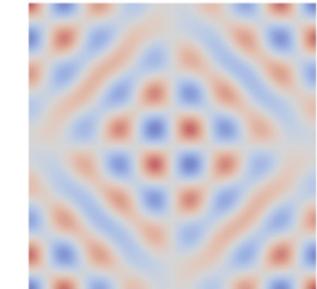
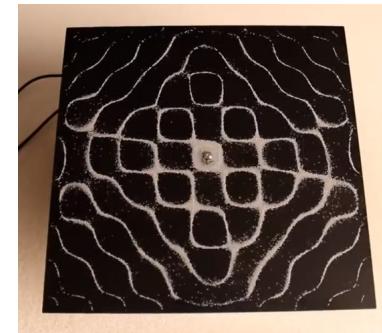
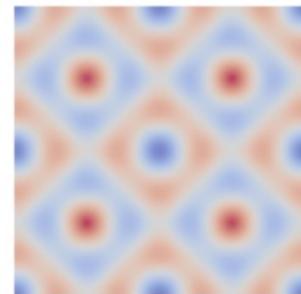
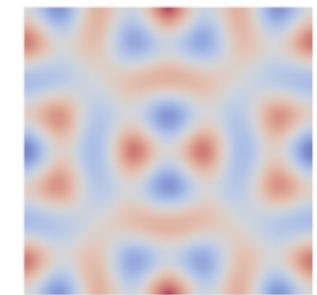
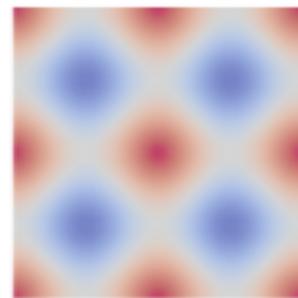
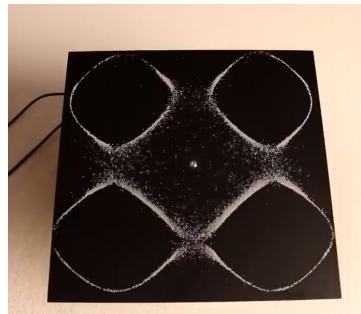
## Eigenfunctions of the Laplace-Beltrami Operator



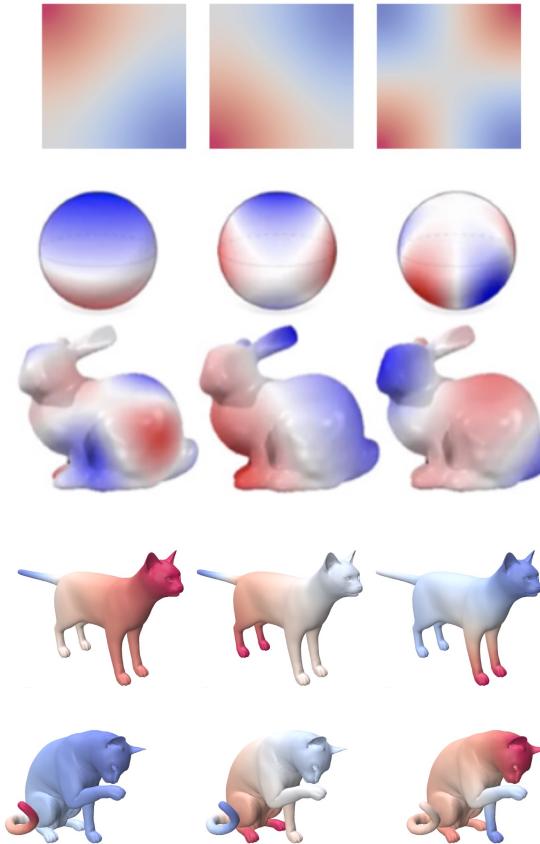
# Eigenfunctions of the Laplace-Beltrami Operator



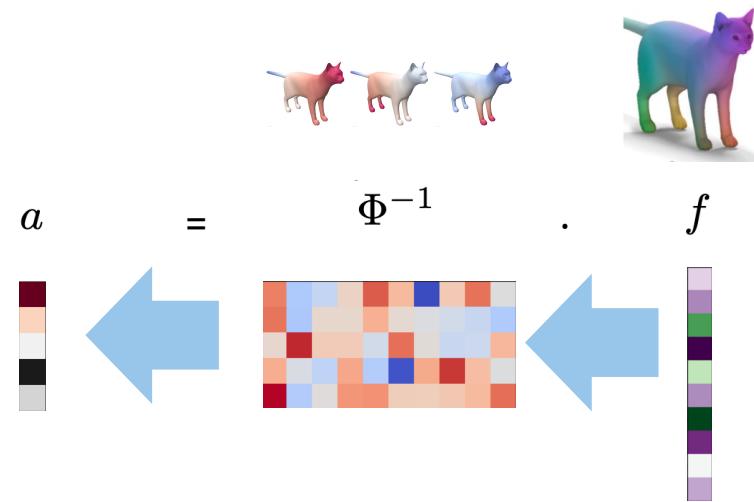
They are also **orthogonal**



## Chladni plate patterns

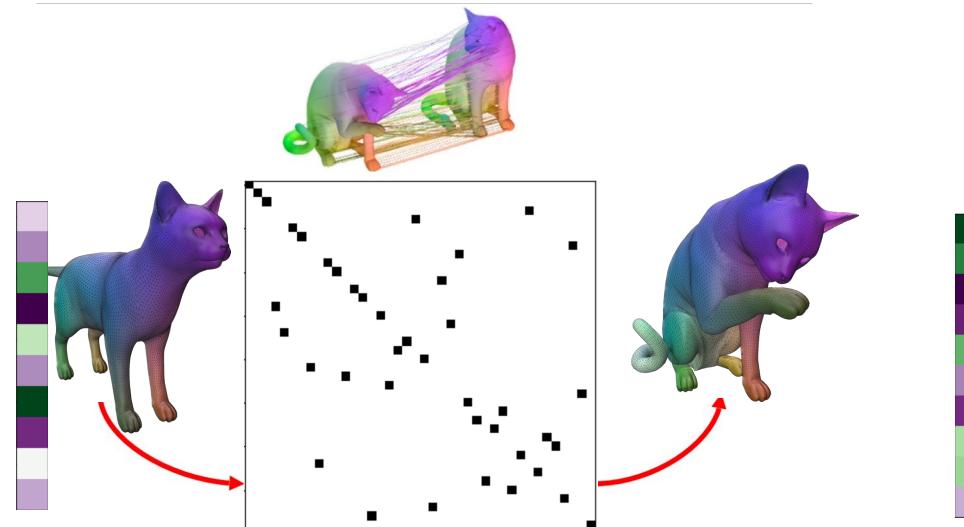


LBO Basis functions are defined for any shape surface



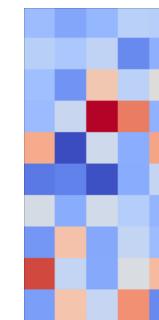
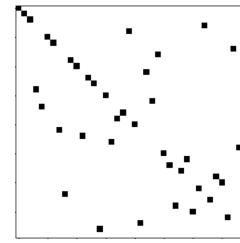
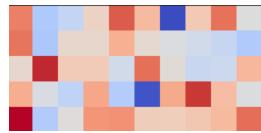
**Goal:**

Given two shapes, encode **correspondence** into a small matrix accurately



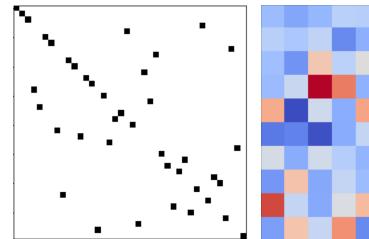
Point map/ permutation matrix

Can transfer/pull functions(colors/textures) from one shape to another



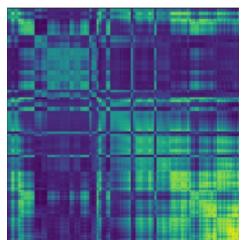


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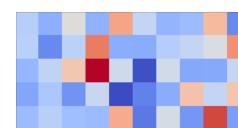
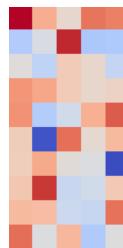


**A functional map  
is a rank-k  
approximation of  
a point map**

$$\mathbf{C} = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

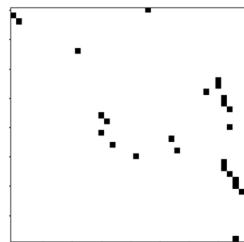


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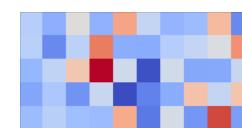
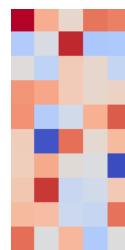


**A functional map  
is a rank-k  
approximation of  
a point map**

$$\mathbf{P} = \Phi_1 \cdot C \cdot \Phi_2^\dagger$$



=

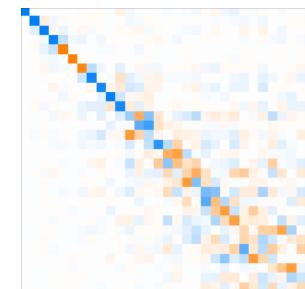


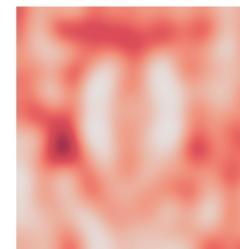
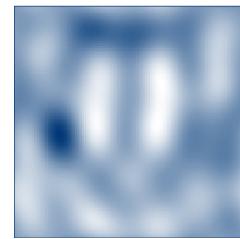
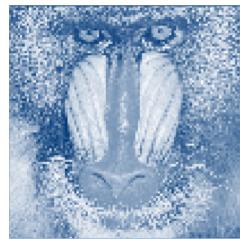
$$\mathbf{P} = \Phi_1 \cdot C \cdot \Phi_2^\dagger$$

**A functional map  
is a rank-k  
approximation of  
a point map**

# Texture transfer example

One is ground truth point map  
One is functional map  
Can you tell?

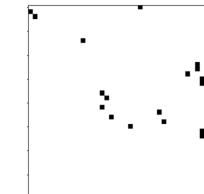
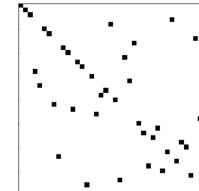
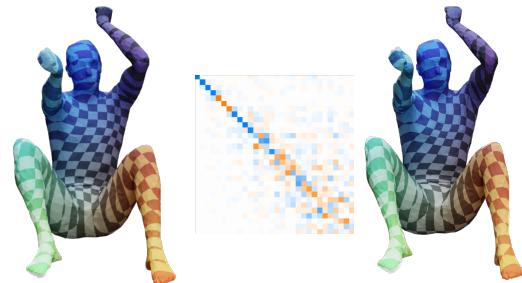




**150**  
Basis coefficients

Does it make  
sense?

**30x30**  
functional map



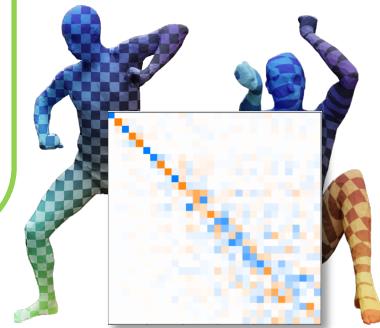
# 2 Functional map Fundamentals

# Functional Maps

$$\text{Point Map} = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

Approximation  
of Point Map



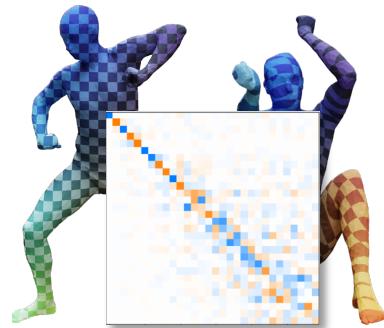
# Functional Maps

$$\begin{matrix} \text{Image} \\ \square \end{matrix} = \begin{matrix} \Phi_1^\dagger \\ \cdot P \\ \cdot \Phi_2 \end{matrix} \quad \begin{matrix} \text{Matrix} \\ \square \end{matrix}$$

$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

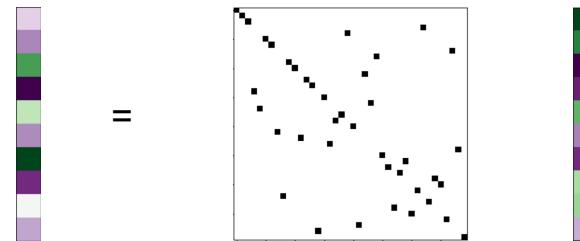
Approximation  
of Point Map

Focus on the elements of  
the matrix



Focus on the input and  
output of the matrix

Focus on the **input** and  
**output** of the matrix



**A point map transfer functions between  
two shapes**

Focus on the **input** and  
**output** of the matrix



$$\begin{array}{c|c|c} \text{Input Vector} & = & \text{Matrix} \\ \hline \begin{matrix} \text{purple} \\ \text{green} \\ \text{blue} \\ \text{red} \\ \text{orange} \\ \text{yellow} \end{matrix} & & \begin{matrix} \text{black} & \text{white} & \dots & \text{black} \\ \text{white} & \text{black} & \dots & \text{white} \\ \vdots & \vdots & \ddots & \vdots \\ \text{black} & \text{white} & \dots & \text{black} \end{matrix} \\ \hline \text{Output Vector} & & \begin{matrix} \text{purple} \\ \text{green} \\ \text{blue} \\ \text{red} \\ \text{orange} \\ \text{yellow} \end{matrix} \end{array}$$

$$\begin{array}{c|c|c} \text{Input Image} & = & \text{Matrix} \\ \hline \begin{matrix} \text{red} & \text{blue} & \dots & \text{red} \\ \text{blue} & \text{red} & \dots & \text{blue} \\ \vdots & \vdots & \ddots & \vdots \\ \text{red} & \text{blue} & \dots & \text{red} \end{matrix} & & \begin{matrix} \text{black} & \text{white} & \dots & \text{black} \\ \text{white} & \text{black} & \dots & \text{white} \\ \vdots & \vdots & \ddots & \vdots \\ \text{black} & \text{white} & \dots & \text{black} \end{matrix} \\ \hline \text{Output Image} & & \begin{matrix} \text{blue} & \text{red} & \dots & \text{blue} \\ \text{red} & \text{blue} & \dots & \text{red} \\ \vdots & \vdots & \ddots & \vdots \\ \text{blue} & \text{red} & \dots & \text{blue} \end{matrix} \end{array}$$

Focus on the **input** and  
**output** of the matrix



$$\begin{array}{c} \text{Input Image} \\ \text{Matrix} \\ \text{Output Image} \end{array} = \begin{matrix} & & \text{Matrix} & & & \\ & & \begin{matrix} & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \end{matrix} & = & \begin{matrix} & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \end{matrix} \\ \text{Input Image} & & & & & \text{Output Image} \end{array}$$

$$\begin{array}{c} \text{Input Image} \\ \text{Matrix} \\ \text{Output Image} \end{array} = \begin{matrix} & & \text{Matrix} & & & \\ & & \begin{matrix} & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \end{matrix} & = & \begin{matrix} & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \end{matrix} \\ \text{Input Image} & & & & & \text{Output Image} \end{array}$$

Focus on the **input** and **output** of the matrix



$$= \begin{array}{c} \text{matrix} \\ \text{with} \\ \text{black} \\ \text{dots} \end{array}$$

$$\begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} = \begin{array}{ccccccccc} & & & & & & & & \\ \textcolor{orange}{\blacksquare} & & & & & & & & \\ & \textcolor{blue}{\blacksquare} & & & & & & & \\ & & \textcolor{orange}{\blacksquare} & & & & & & \\ & & & \textcolor{lightblue}{\blacksquare} & & & & & \\ & & & & \textcolor{orange}{\blacksquare} & & & & \\ & & & & & \textcolor{blue}{\blacksquare} & & & \\ & & & & & & \textcolor{orange}{\blacksquare} & & \\ & & & & & & & \textcolor{lightblue}{\blacksquare} & \\ & & & & & & & & \textcolor{orange}{\blacksquare} \end{array}$$

Focus on the **input** and  
**output** of the matrix

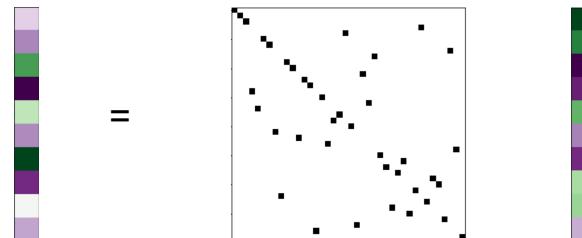


$$\begin{array}{c} \text{vertical bar with colored segments} \\ = \\ \text{matrix with sparse black dots} \\ = \\ \text{vertical bar with colored segments} \end{array}$$

$$\begin{array}{c} \text{vertical bar with colored segments} \\ = \\ \text{matrix with colored blocks} \\ = \\ \text{vertical bar with colored segments} \end{array}$$

**A functional map translates coefficients of  
functions between two shapes**

Focus on the **input** and **output** of the matrix



Spatial  
domain

A point map transfer functions between two shapes



Spectral  
domain

A functional map translates coefficients of functions between two shapes

$$\mathbf{b} = \mathbf{C} \cdot \mathbf{a}$$

# Functional Maps

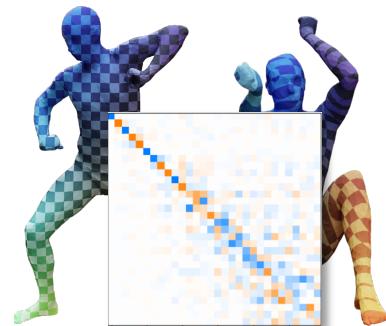
$$\begin{matrix} \text{Matrix} \\ \vdots \end{matrix} = \begin{matrix} \text{Matrix} \\ \vdots \end{matrix} \cdot P \cdot \begin{matrix} \text{Matrix} \\ \vdots \end{matrix}$$

$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

Approximation  
of Point Map

$$\begin{matrix} \text{Matrix} \\ \vdots \end{matrix} = \begin{matrix} \text{Matrix} \\ \vdots \end{matrix} \cdot \Phi_2 a$$

Columns are coefficients of target basis



$$\begin{matrix} \text{Vector} \\ \vdots \end{matrix} = \begin{matrix} \text{Matrix} \\ \vdots \end{matrix} \cdot \begin{matrix} \text{Vector} \\ \vdots \end{matrix}$$

$$b = C \cdot a$$

Translates coefficients

$$\Phi_1 \cdot C = \Phi_2 a$$

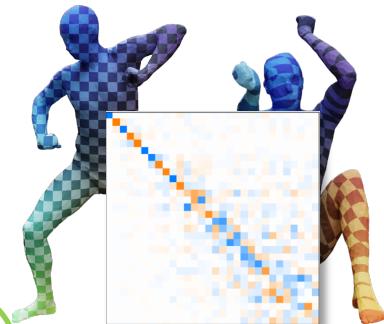
Aligns Bases

# Functional Maps

$$\begin{matrix} \text{Matrix} \\ \vdots \end{matrix} = \begin{matrix} \text{Matrix} \\ \vdots \end{matrix} \cdot P \cdot \begin{matrix} \text{Matrix} \\ \vdots \end{matrix}$$

$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

Approximation  
of Point Map



$$\begin{matrix} \text{Vector} \\ \vdots \end{matrix} = \begin{matrix} \text{Matrix} \\ \vdots \end{matrix} \cdot C \cdot \begin{matrix} \text{Vector} \\ \vdots \end{matrix}$$

$$b = C \cdot a$$

Translates coefficients

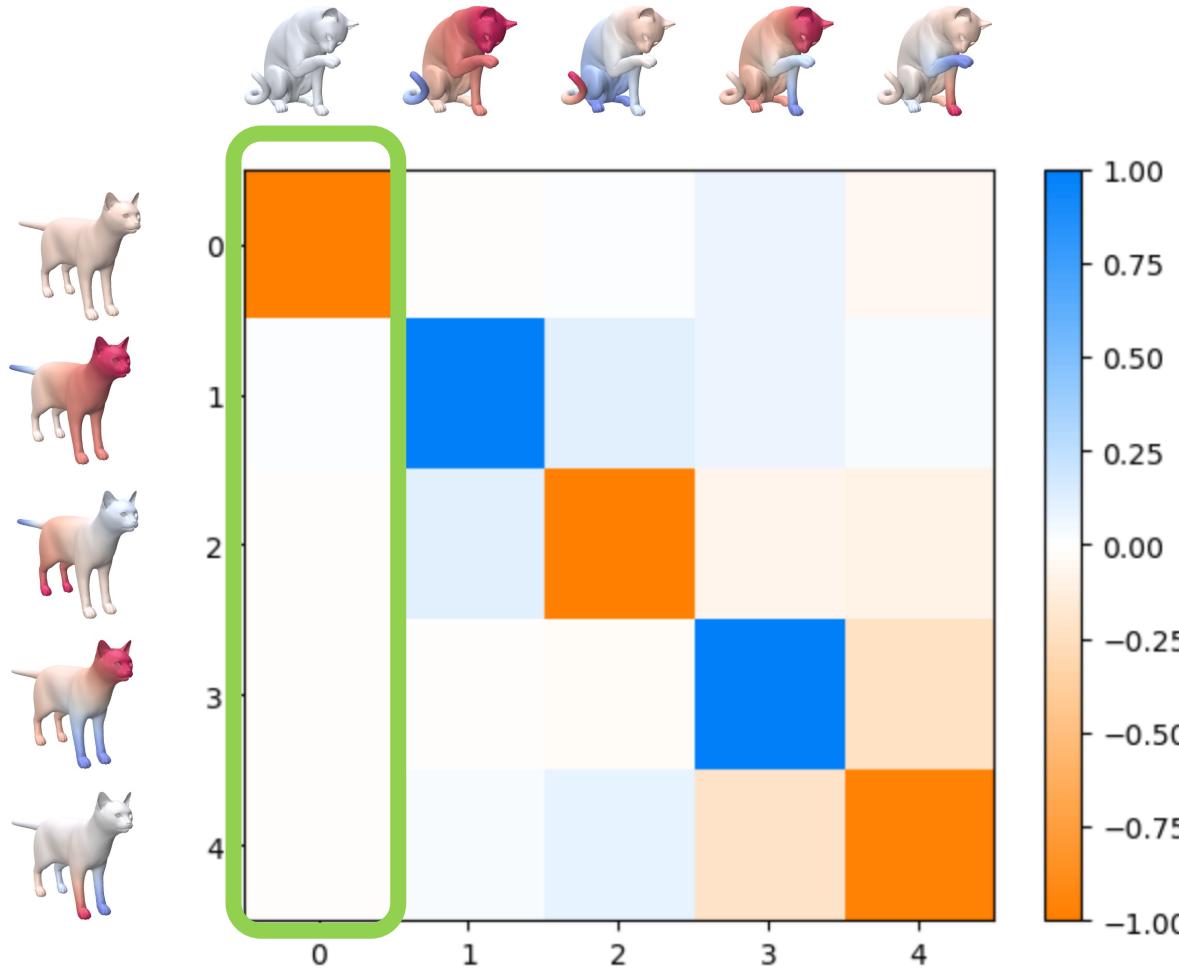
$$\begin{matrix} \text{Matrix} \\ \vdots \end{matrix} = \begin{matrix} \text{Matrix} \\ \vdots \end{matrix} \cdot \Phi_2 a$$

Focus on the elements of  
the matrix  
 $C = \Phi_1^\dagger \cdot \Phi_2 a$

Columns are coefficients of target basis

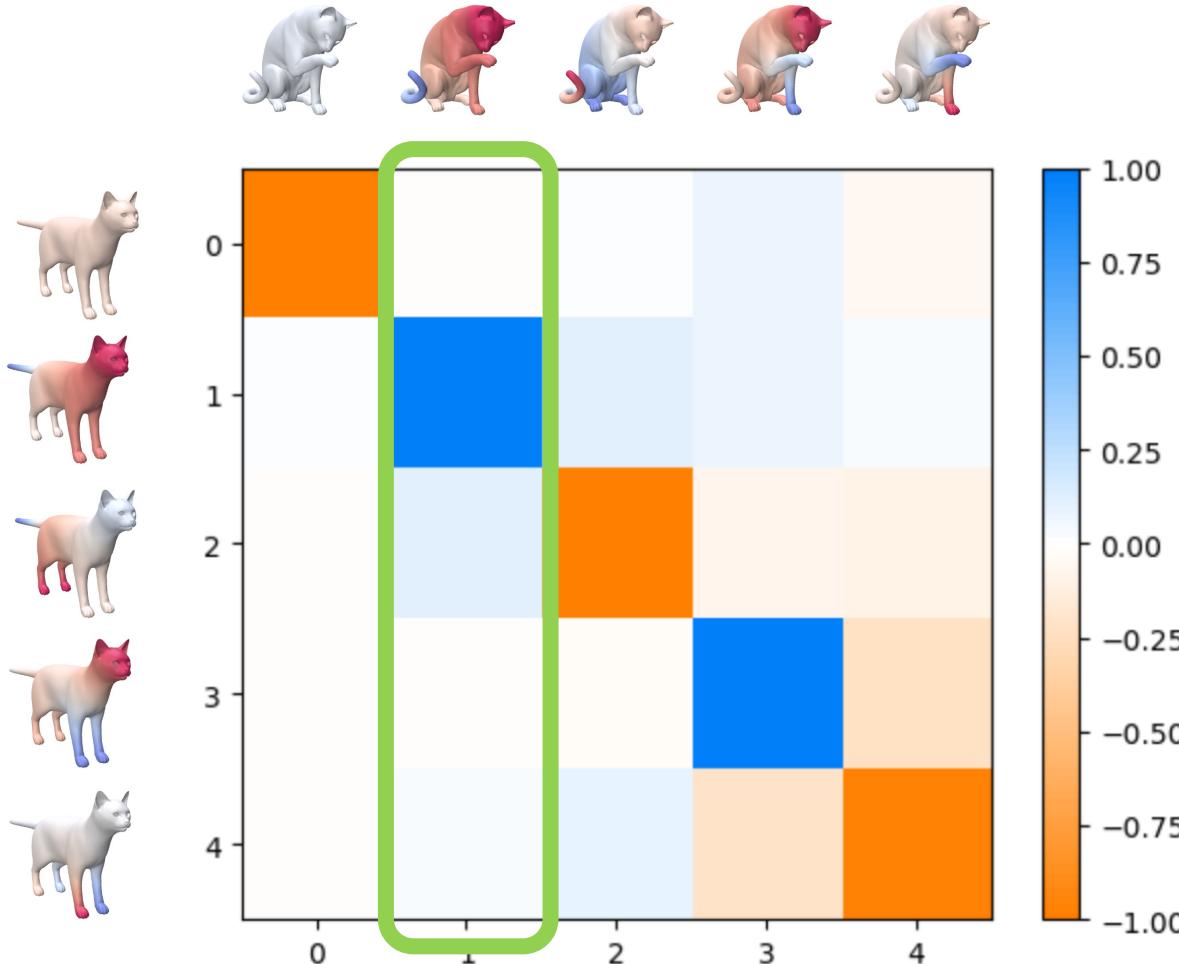
$$\Phi_1 \cdot C = \Phi_2 a$$

Aligns Bases



$$\begin{array}{c} \text{Diagram showing a 4x4 matrix with colored blocks (orange, blue, red) followed by an equals sign, then a 4x4 matrix with colored blocks, a 4x4 diagonal matrix with black dots, and a 4x4 matrix with colored blocks.} \\ = \quad \begin{matrix} & & & \\ & & & \\ & & & \\ & & & \end{matrix} \end{array} \quad C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

$$\begin{array}{c} \text{Diagram showing a 4x4 matrix with colored blocks (orange, blue, red) followed by an equals sign, then a 4x4 matrix with colored blocks, and a 4x4 matrix with colored blocks where the last two columns are highlighted with a green border.} \\ = \quad \begin{matrix} & & & \\ & & & \\ & & & \\ & & & \end{matrix} \end{array} \quad C = \Phi_1^\dagger \cdot \Phi_{2a}$$

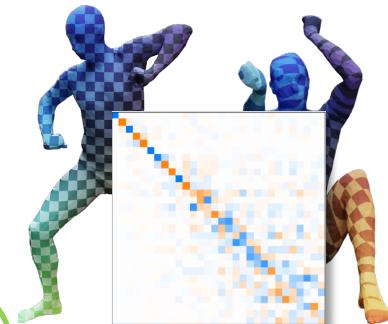


**Each column  
is a coefficient  
of the target  
basis  
function**

# Functional Maps

$$\begin{matrix} \text{Icon: } & = & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } \end{matrix}$$
$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

Approximation  
of Point Map



$$\begin{matrix} \text{Icon: } & = & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } \end{matrix}$$
$$b = C \cdot a$$

Translates coefficients

$$\begin{matrix} \text{Icon: } & = & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } \end{matrix}$$
$$C = \Phi_1^\dagger \cdot \Phi_2 a$$

Columns are **coefficients** of target basis

$$\Phi_1 \cdot C = \Phi_2 a$$

Aligns Bases

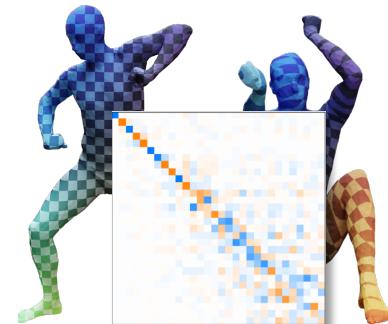
# Functional Maps

$$\begin{matrix} \text{Icon: } & = & \text{Icon: } & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } & \text{Icon: } \end{matrix}$$
$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

Approximation  
of Point Map

$$\begin{matrix} \text{Icon: } & = & \text{Icon: } & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } & \text{Icon: } \end{matrix}$$
$$C = \Phi_1^\dagger \cdot \Phi_2 a$$

Columns are **coefficients** of target basis

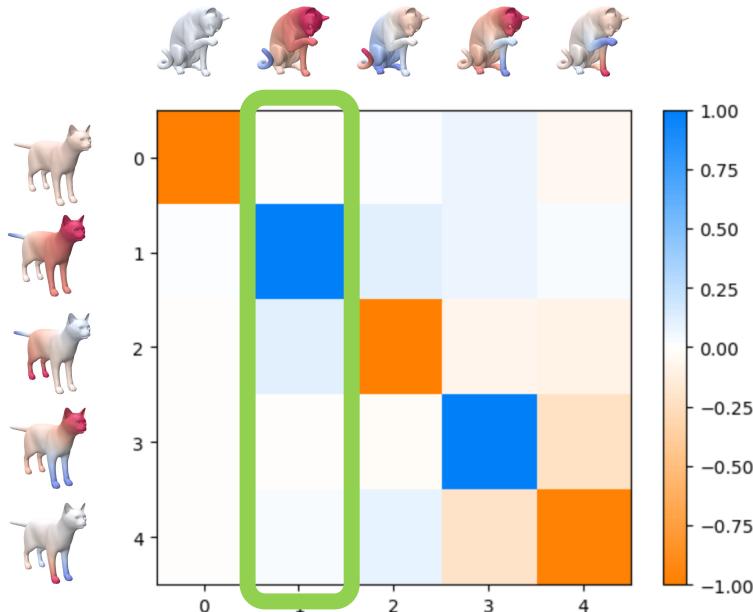


$$\begin{matrix} \text{Icon: } & = & \text{Icon: } & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } & \text{Icon: } \end{matrix}$$
$$b = C \cdot a$$

Translates coefficients

$$\Phi_1 \cdot C = \Phi_2 a$$

Aligns Bases



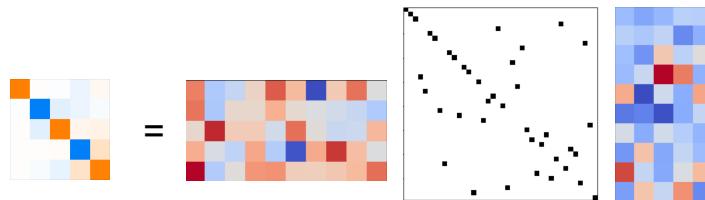
Each **column** is the **coeffieint** that combines into the target basis function

A functional map are **coefficients** that aligns two sets of basis functions together

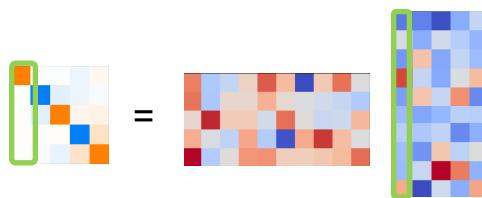
Functional map **aligns** basis functions

$$\begin{array}{c} \text{Diagram showing a 4x4 matrix with colored blocks (orange, blue, red) followed by an equals sign, then a 4x4 matrix with colored blocks, then a 4x4 matrix with black dots on the diagonal, then another 4x4 matrix with colored blocks.} \\ = \quad \begin{matrix} \text{4x4 matrix with colored blocks} \\ \text{4x4 matrix with colored blocks} \end{matrix} \quad \begin{matrix} \text{4x4 matrix with black dots on diagonal} \\ \text{4x4 matrix with colored blocks} \end{matrix} \end{array}$$
$$\mathbf{C} = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

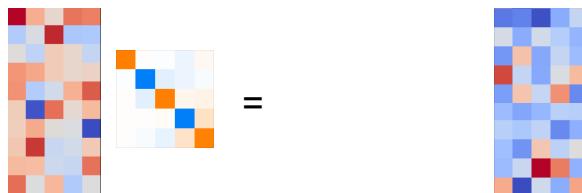
$$\begin{array}{c} \text{Diagram showing a 4x4 matrix with colored blocks (orange, blue, red) followed by an equals sign, then a 4x4 matrix with colored blocks, then a green bracket spanning the first two columns, then another 4x4 matrix with colored blocks.} \\ = \quad \begin{matrix} \text{4x4 matrix with colored blocks} \\ \text{4x4 matrix with colored blocks} \end{matrix} \quad \begin{matrix} \text{green bracket spanning first 2 columns} \\ \text{4x4 matrix with colored blocks} \end{matrix} \end{array}$$
$$\mathbf{C} = \Phi_1^\dagger \cdot \Phi_{2a}$$


$$\begin{matrix} \text{Sparse Diagonal} \\ \text{Matrix} \end{matrix} = \begin{matrix} \text{Colored Blocks} \\ \text{Matrix} \end{matrix} \cdot \begin{matrix} \text{Sparse Pattern} \\ \text{Matrix} \end{matrix}$$

$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

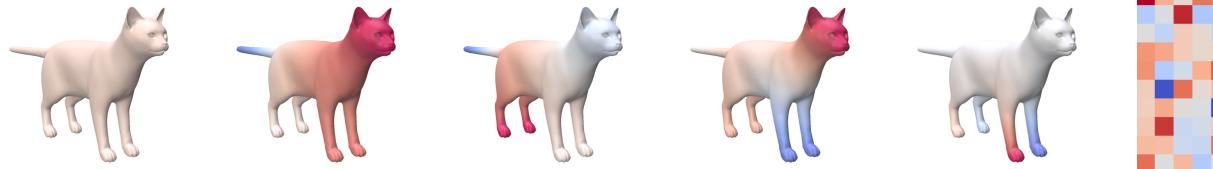

$$\begin{matrix} \text{Colored Blocks} \\ \text{Matrix} \end{matrix} = \begin{matrix} \text{Colored Blocks} \\ \text{Matrix} \end{matrix} \cdot \begin{matrix} \text{Sparse Pattern} \\ \text{Matrix} \end{matrix}$$

$$C = \Phi_1^\dagger \cdot \Phi_{2a}$$

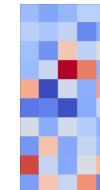
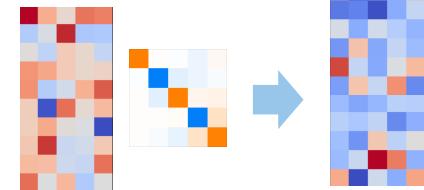
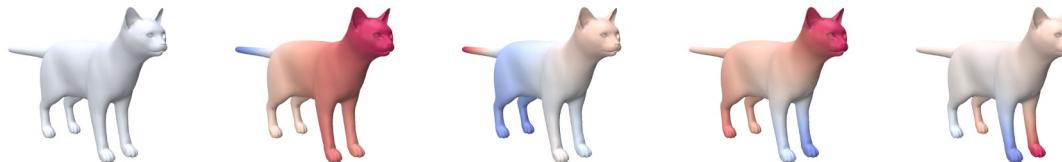

$$\begin{matrix} \text{Colored Blocks} \\ \text{Matrix} \end{matrix} \cdot \begin{matrix} \text{Sparse Pattern} \\ \text{Matrix} \end{matrix} = \begin{matrix} \text{Colored Blocks} \\ \text{Matrix} \end{matrix}$$

$$\Phi_1 \cdot C = \Phi_{2a}$$

# Functional map **aligns** basis functions



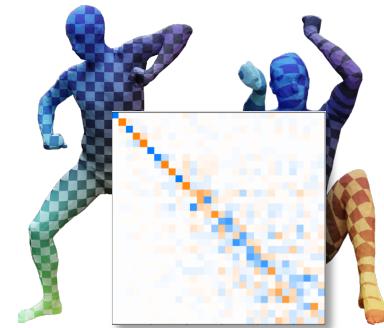
# Functional map aligns basis functions



# Functional Maps

$$\begin{matrix} \text{Icon: } & = & \text{Icon: } & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } & \text{Icon: } \end{matrix}$$
$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

Approximation  
of Point Map



$$\begin{matrix} \text{Icon: } & = & \text{Icon: } & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } & \text{Icon: } \end{matrix}$$
$$b = C \cdot a$$

Translates coefficients

$$\begin{matrix} \text{Icon: } & = & \text{Icon: } & \text{Icon: } \\ \text{Icon: } & = & \text{Icon: } & \text{Icon: } \end{matrix}$$
$$C = \Phi_1^\dagger \cdot \Phi_2 a$$

Columns are **coefficients** of target basis

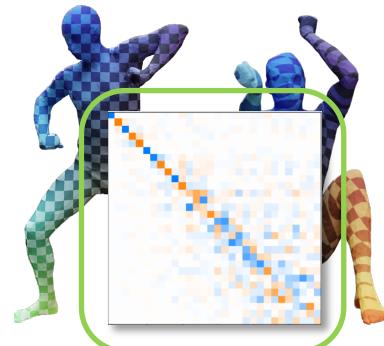
$$\begin{matrix} \text{Icon: } & \text{Icon: } & = & \text{Icon: } \\ \text{Icon: } & \text{Icon: } & = & \text{Icon: } \end{matrix}$$
$$\Phi_1 \cdot C = \Phi_2 a$$

Aligns Bases

# Functional Maps

$$\begin{matrix} \text{Matrix} & = & \text{Matrix} & \text{Matrix} \\ \Phi_1^\dagger & \cdot & P & \cdot \Phi_2 \end{matrix}$$

Approximation  
of Point Map



$$\begin{matrix} \text{Vector} & = & \text{Matrix} & \text{Vector} \\ b & = & C & \cdot a \end{matrix}$$

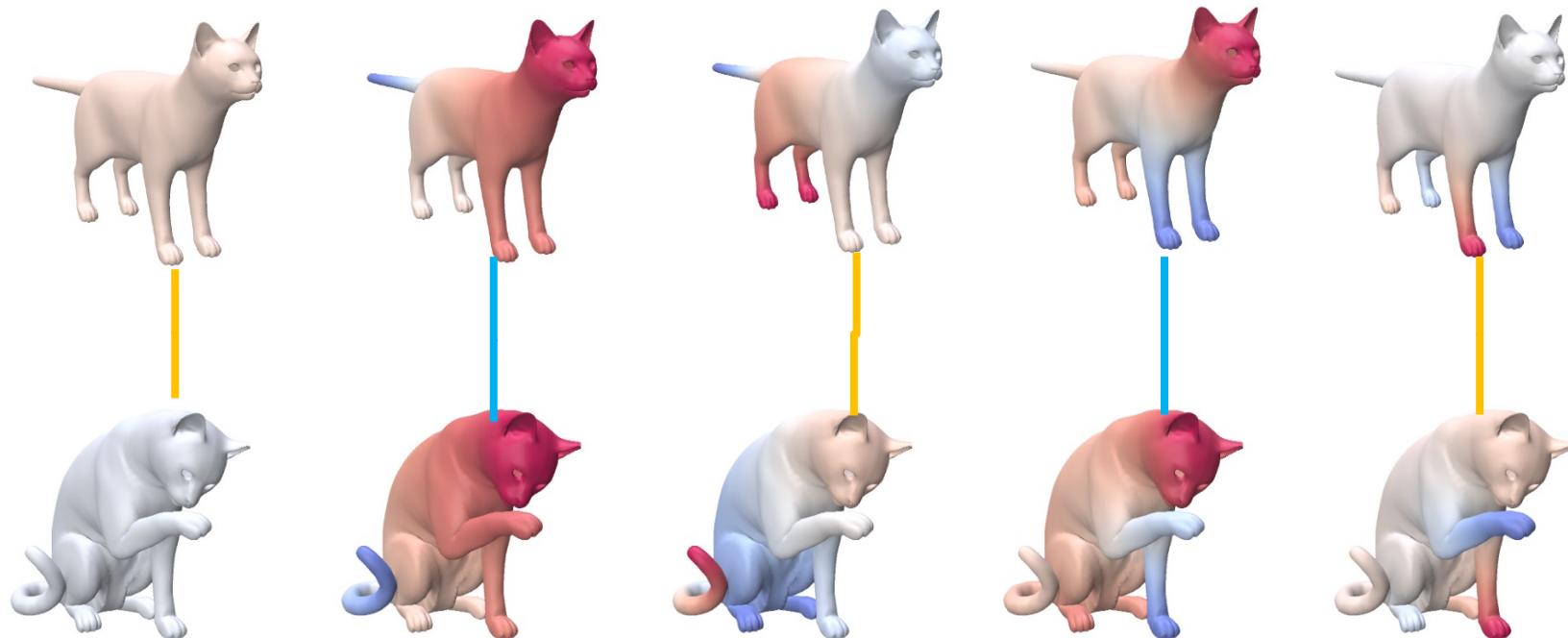
Translates coefficients

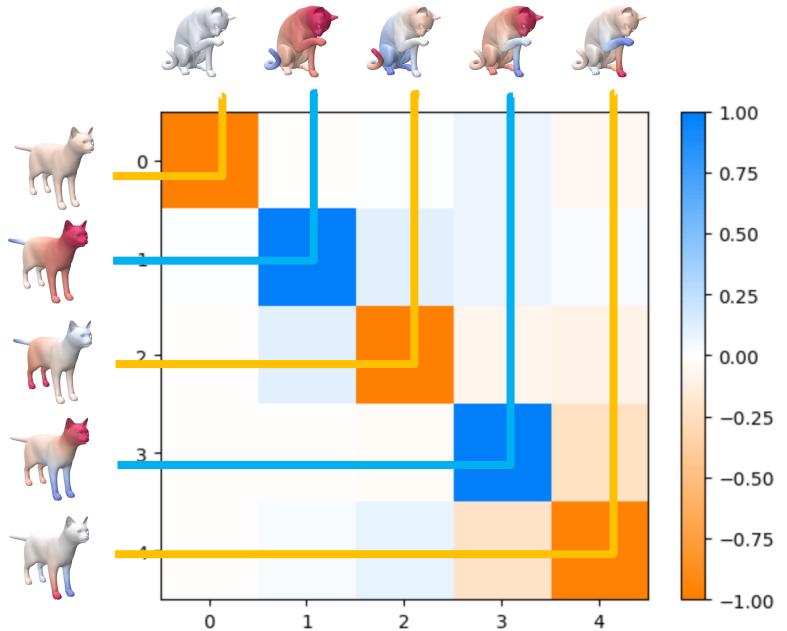
$$\begin{matrix} \text{Matrix} & = & \text{Matrix} & \text{Matrix} \\ \Phi_1^\dagger & \cdot & \Phi_2 & a \end{matrix}$$

Columns are **coefficients** of target basis

$$\begin{matrix} \text{Matrix} & \cdot & \text{Matrix} & = & \text{Matrix} \\ \Phi_1 & \cdot & C & = & \Phi_2 a \end{matrix}$$

Aligns Bases





# Properties of the eigenfunctions of the LBO

$$\Delta\phi_i = \lambda_i\phi_i \quad \Delta(f) = -\operatorname{div}\nabla(f)$$

Unstable under perturbations:

- Sign flipping
- Eigenfunction order changes



But:

- Space spanned by the top basis functions  
are **stable** under near-isometries

$$\lambda_0 = 0 \quad \lambda_1 = 2.6 \quad \lambda_2 = 3.4 \quad \lambda_3 = 5.1 \quad \lambda_4 = 7.6$$

# Definition of the Functional Map matrix

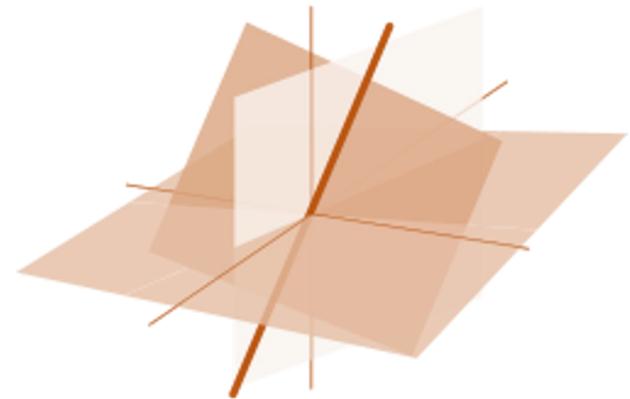
Definition:

For a fixed choice of basis functions  $\{\phi^M\}$ ,  $\{\phi^N\}$ , and a linear transformation  $T_F$  between functions, a functional map is a matrix  $C$ , s.t. for any  $f = \sum_i a_i \phi_i^M$  if  $T(f) = \sum_i b_i \phi_i^N$ , then:

$$\mathbf{b} = C\mathbf{a}$$

$C_{ij}$  : coefficient of  $T_F(\phi_j^M)$  in the basis of  $\phi_i^N$ .

In an orthonormal basis:  $C_{ij} = \int_N T_F(\phi_j^M) \phi_i^N d\mu$



Ovsjanikov, M., Corman, E., Bronstein, M., Rodolà, E., Ben-Chen, M., Guibas, L., ... & Bronstein, A. (2016). Computing and processing correspondences with functional maps. In SIGGRAPH ASIA 2016 Courses (pp. 1-60).

[https://en.wikipedia.org/wiki/Linear\\_algebra](https://en.wikipedia.org/wiki/Linear_algebra)

# Definition of the Functional Map matrix

Definition:

For a fixed choice of basis functions  $\{\phi^M\}, \{\phi^N\}$ , and a linear transformation  $T_F$  between functions, a functional map is a matrix  $C$  s.t. for any  $f = \sum_i a_i \phi_i^M$  if  $T(f) = \sum_i b_i \phi_i^N$  then:

$$\mathbf{b} = \mathbf{C} \cdot \mathbf{a}$$

$C_{ij}$ : coefficient of  $T_F(\phi_i^M)$  in the basis of  $\phi_j^N$

- Functional Map translates function coefficients

In an orthonormal basis:  $C_{ij} = \int_N T_F(\phi_j^M) \phi_i^N d\mu$   
from one space to another



# Definition of the Functional Map matrix

Given two shapes with  $n_{\mathcal{M}}, n_{\mathcal{N}}$  points and a map:  $T : \mathcal{N} \rightarrow \mathcal{M}$

$\mathbf{T} : n_{\mathcal{N}} \times n_{\mathcal{M}}$  matrix encoding the map  $T$ ,  
one 1 per column with zeros everywhere else.

If functions are represented in the reduced basis:

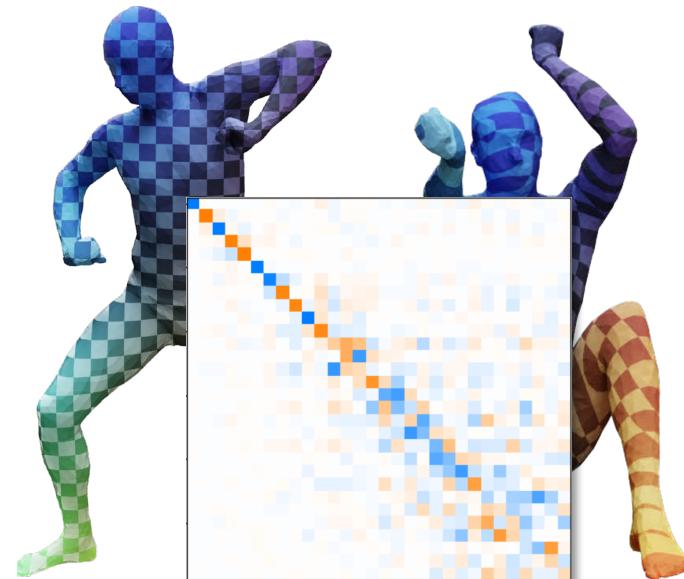
$\Phi_{\mathcal{M}} : n_{\mathcal{M}} \times k_{\mathcal{M}}$  matrix of the first  $k_{\mathcal{M}}$  eigenfunctions of  $\Delta_{\mathcal{M}}$  as columns.

$\Phi_{\mathcal{N}} : n_{\mathcal{N}} \times k_{\mathcal{N}}$  matrix of the first  $k_{\mathcal{N}}$  eigenfunctions of  $\Delta_{\mathcal{N}}$  as columns.

The functional map matrix:

$$C = \Phi_{\mathcal{N}}^+ \mathbf{T}^T \Phi_{\mathcal{M}}$$

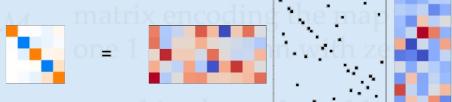
$^+$ : left pseudo-inverse.



# Definition of the Functional Map matrix

Given two shapes with  $n_M, n_N$  points and a map:  $T : \mathcal{N} \rightarrow \mathcal{M}$

$\mathbf{T} : n_N \times n_M$  matrix encoding the map.  $\mathbf{T}_{ij} = 1$  if  $T(\mathbf{v}_j) = \mathbf{v}_i$ ,  $\mathbf{T}_{ij} = 0$  everywhere else.



If functions are represented in the reduced basis:

$$\mathbf{C} = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

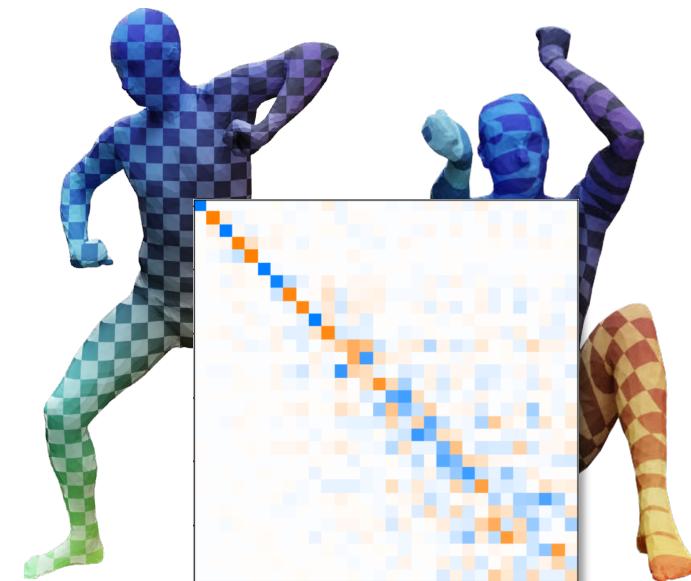
$\Phi_M : n_M \times k_M$  matrix of the first  $k_M$  eigenfunctions of  $\Delta_M$  as columns.

$\Phi_N : n_N \times k_N$  matrix of the first  $k_N$  eigenfunctions of  $\Delta_N$  as columns.

The functional map are rank-k approximations of a

$$C = \Phi_M^\dagger \mathbf{T}^T \Phi_N$$

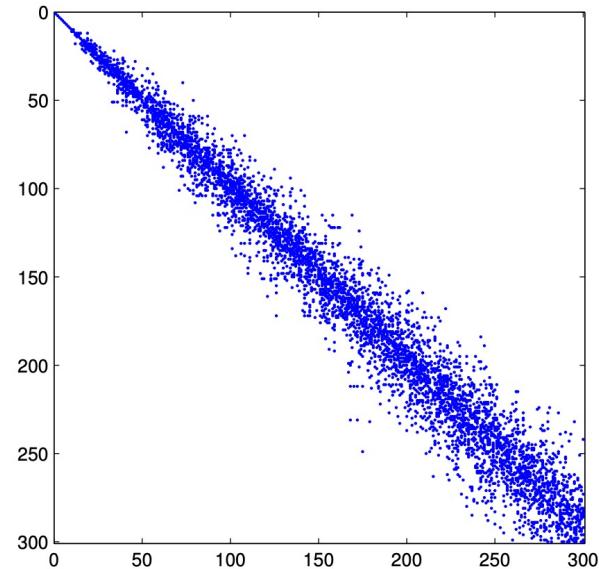
$\Phi_M^\dagger$ : left pseudo-inverse.  
Point Map under two basis functions



# Structure of the Functional Map matrix

Sparsity Pattern:

- Over 94% of the values are below 0.1
- Diagonally funnel-shaped



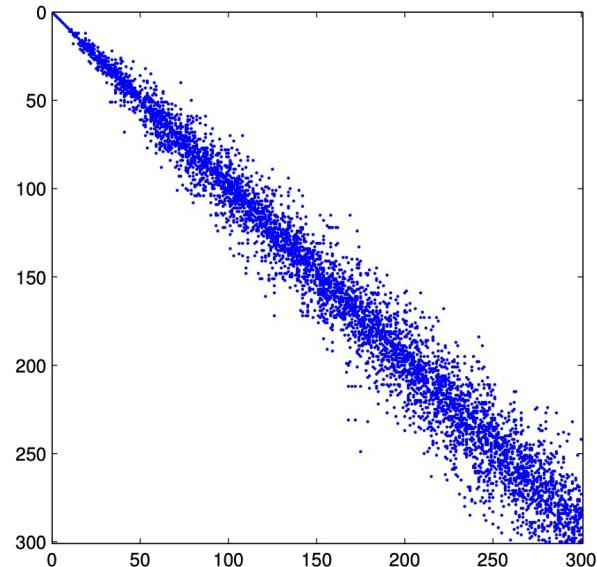
# Structure of the Functional Map matrix

High-frequency perturbations:

- Due to high-frequency eigenfunction swaps

But:

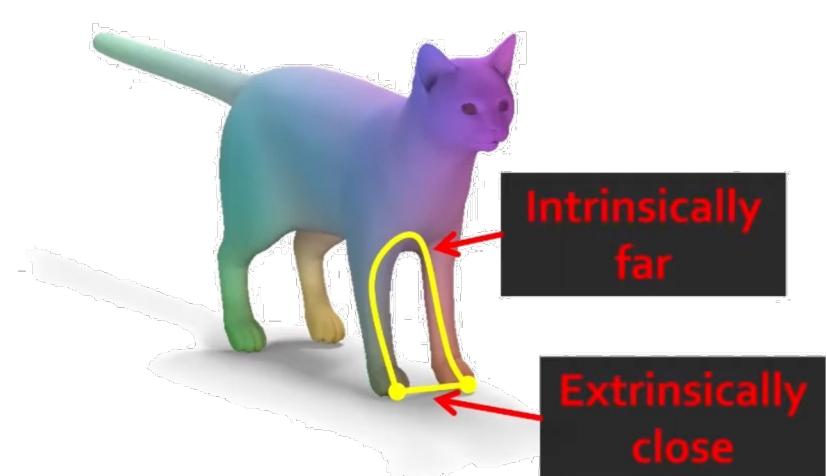
- Space spanned by the eigenfunctions are **stable**
- the functional representation naturally encodes such changes



# Accuracy of the Functional Map

## Geodesic Distance:

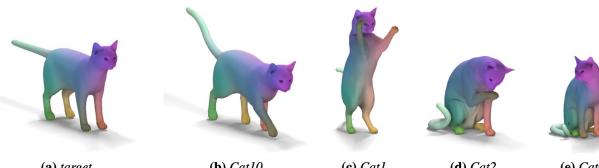
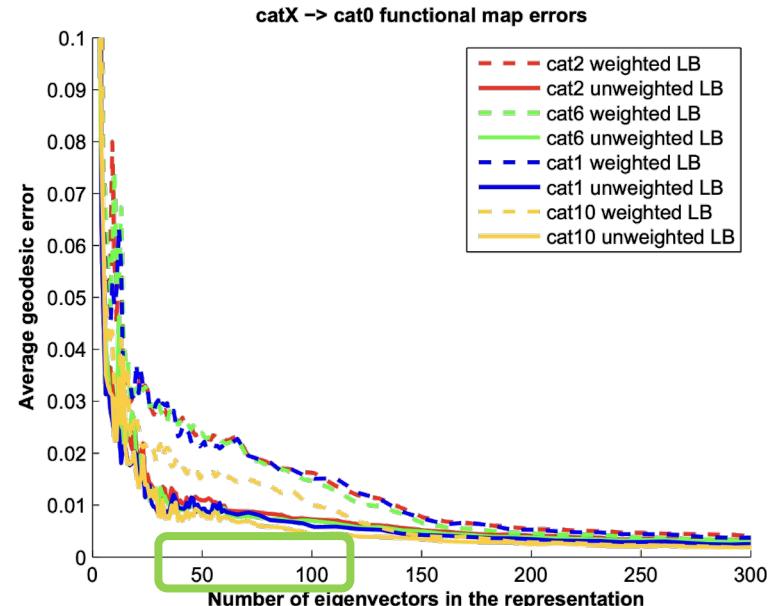
Length of the shortest path, constrained not to leave the manifold.



# Accuracy of the Functional Map

Average mapping error vs. number of basis used

- In practice, somewhere between 20 to 100 basis are sufficient



Ovsjanikov, M., Ben-Chen, M., Solomon, J., Butscher, A., & Guibas, L. (2012). Functional maps: a flexible representation of maps between shapes. *ACM Transactions on Graphics (ToG)*, 31(4), 1-11.

# Properties of Functional Maps

Lemma 1:

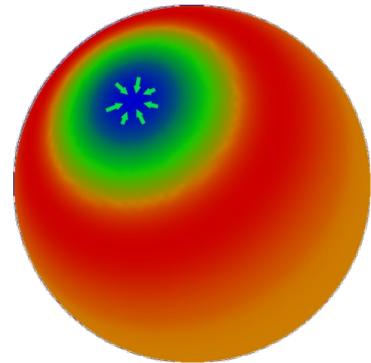
The mapping is *isometric*, if and only if the functional map matrix commutes with the Laplacian:

$$C\Delta_{\mathcal{M}} = \Delta_{\mathcal{N}}C$$

Implies that isometries result in diagonal functional maps.

Lemma 2:

The mapping is *locally volume preserving*, if and only if the functional map matrix is *orthonormal*.



# Properties of Functional Maps

Lemma 1:

The mapping is *isometric*, if and only if the functional map matrix commutes with the Laplacian:

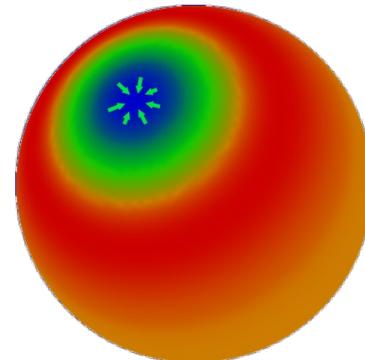
- Good Functional Maps are **diagonal**

$$C\Delta_M = \Delta_N C$$

Implies that isometries result in diagonal functional maps.

Lemma 2:

- Good Functional Maps are **orthonormal** if the functional map matrix is orthonormal.

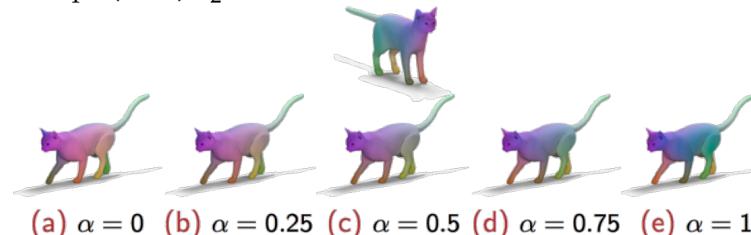


## Functional Map algebra

1. Map composition becomes matrix multiplication.
2. Map inversion is matrix inversion (in fact, transpose).
3. Algebraic operations on functional maps are possible.

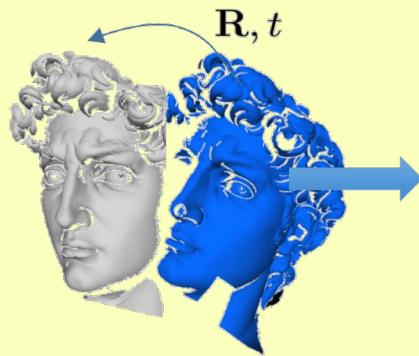
E.g. interpolating between two maps with

$$C = \alpha C_1 + (1-\alpha) C_2.$$

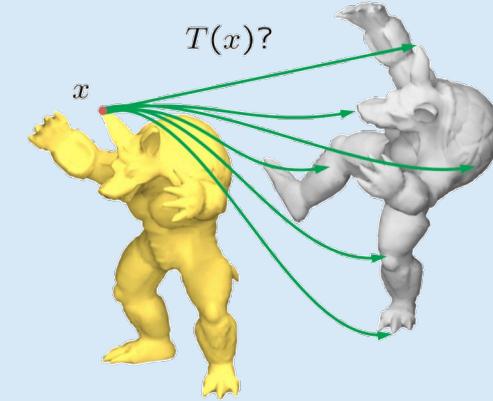


# 3 Historical Background

# Background

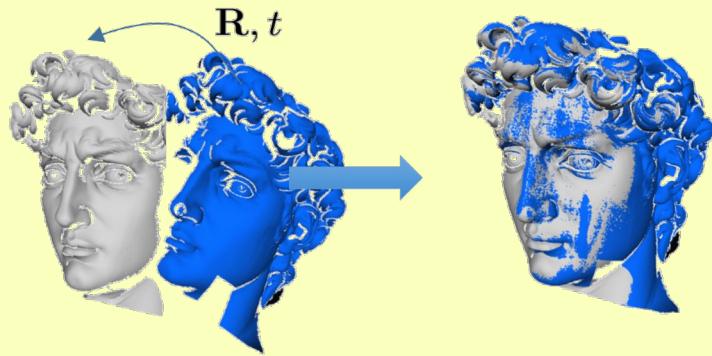


Rigid alignment constraint is a  $4 \times 4$  matrix

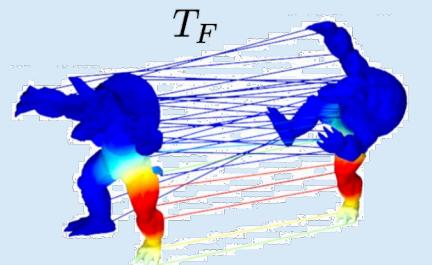


Non-rigid, no compact constraint

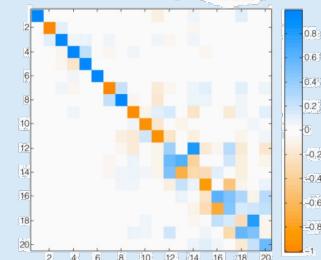
# Spectral Rigid Alignment



Rigid alignment constraint is a  $4 \times 4$  matrix

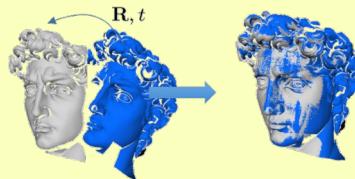


Non-rigid, spectral rigid alignment constraint is a  $k \times k$  matrix

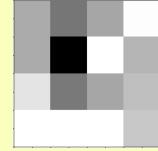


# Spectral Rigid Alignment

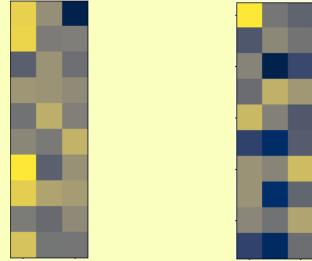
Rigid



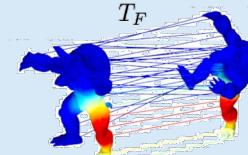
$4 \times 4 \text{ Rt}$



aligns  
xyz  
coordinates



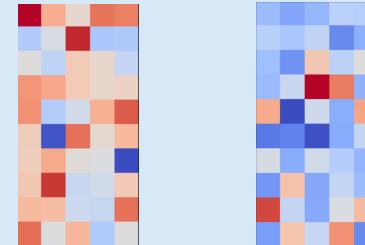
Non-rigid



$k \times k \text{ C}$

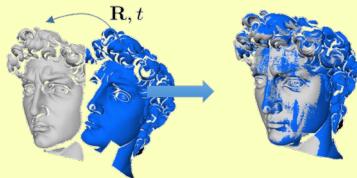


aligns  
spectral  
embeddings



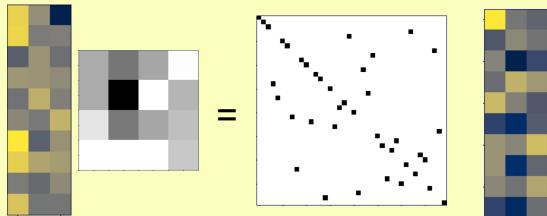
# Spectral Rigid Alignment

Rigid

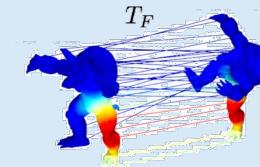


$4 \times 4 \text{ Rt}$

aligns  
xyz  
coordinates

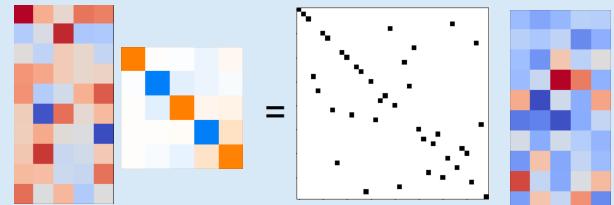

$$\begin{matrix} \text{xyz} \\ \text{coordinates} \end{matrix} \xrightarrow{\text{Rigid}} \begin{matrix} \text{spectral} \\ \text{embeddings} \end{matrix} = \begin{matrix} \text{rotation} \\ \text{matrix} \end{matrix} \begin{matrix} \text{xyz} \\ \text{coordinates} \end{matrix} + \begin{matrix} \text{translation} \\ \text{vector} \end{matrix}$$

Non-rigid



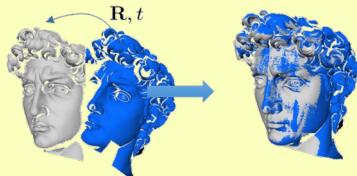
$k \times k \text{ C}$

aligns  
spectral  
embeddings


$$\begin{matrix} \text{spectral} \\ \text{embeddings} \end{matrix} \xrightarrow{\text{Non-rigid}} \begin{matrix} \text{spectral} \\ \text{embeddings} \end{matrix} = \begin{matrix} \text{correspondence} \\ \text{matrix} \end{matrix} \begin{matrix} \text{spectral} \\ \text{embeddings} \end{matrix}$$

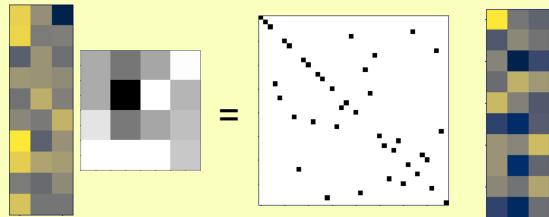
# Spectral Rigid Alignment

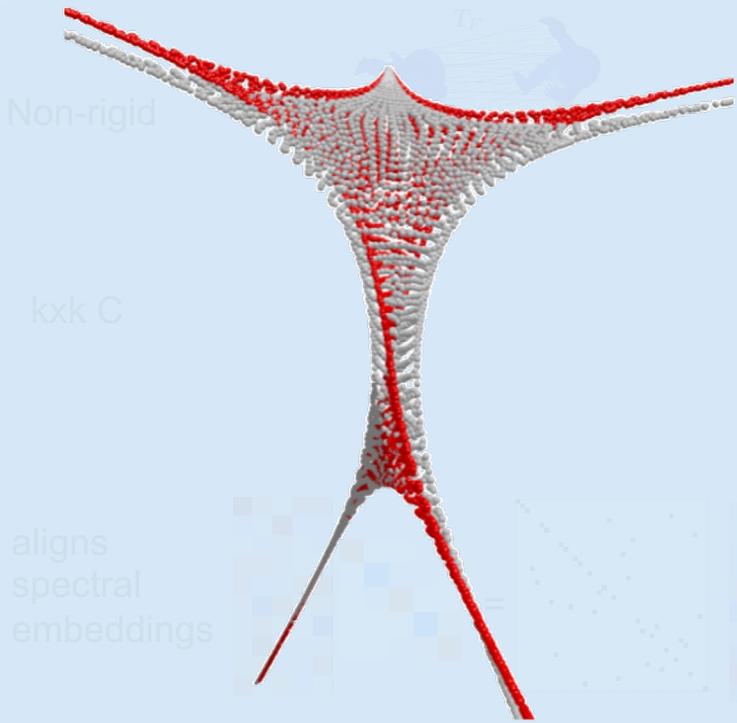
Rigid



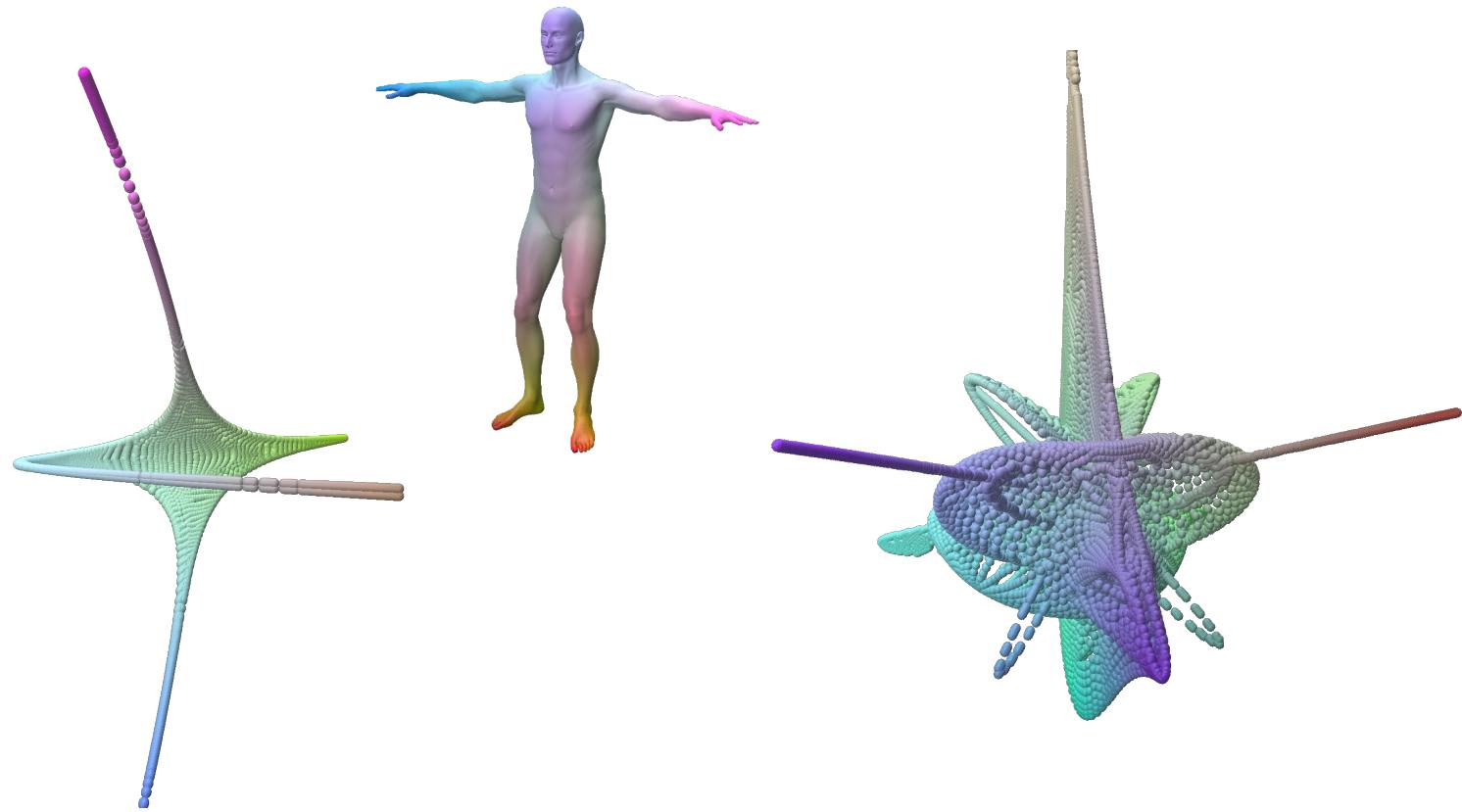
$4 \times 4 \text{ Rt}$

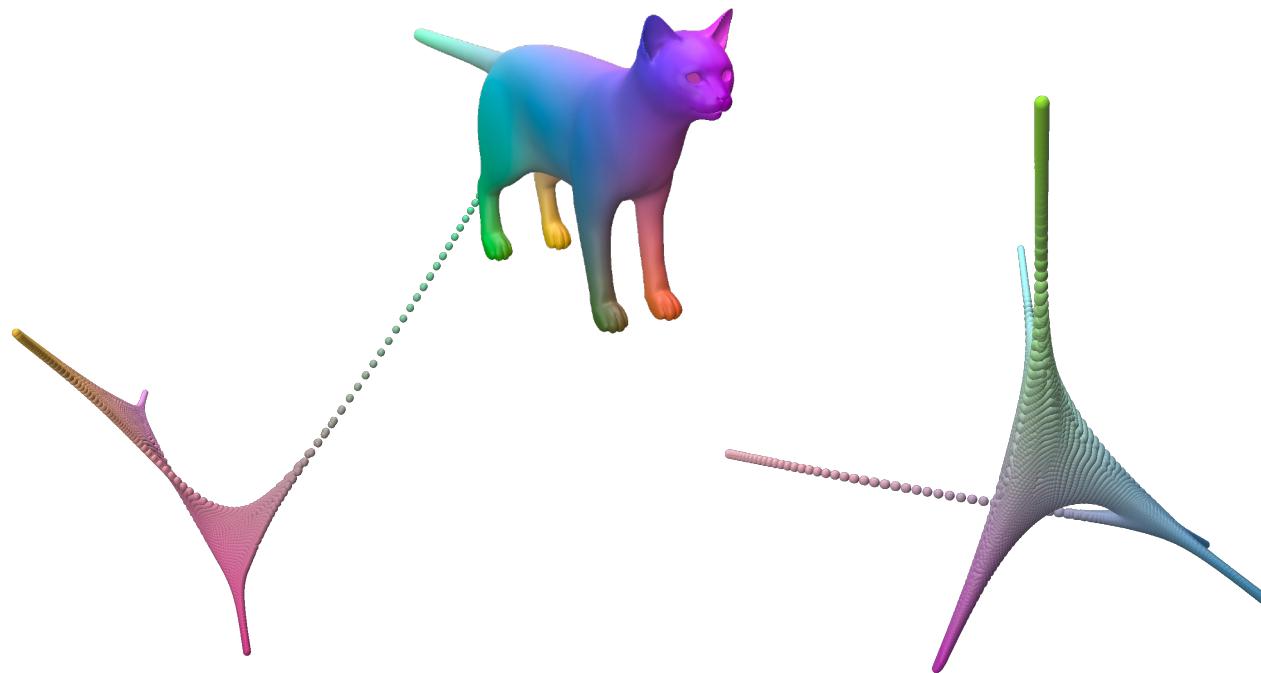
aligns  
xyz  
coordinates

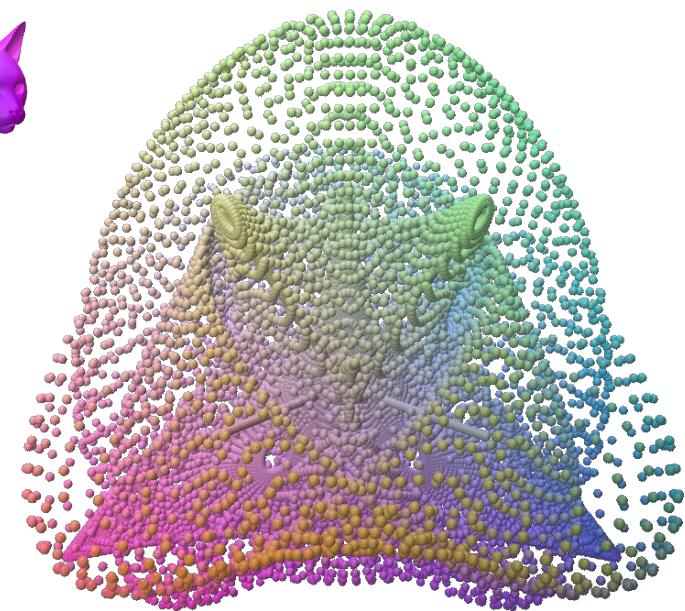
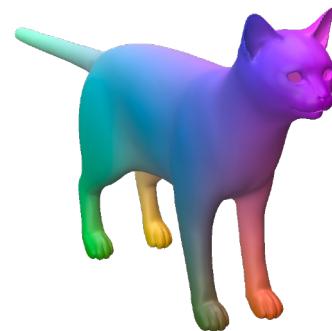
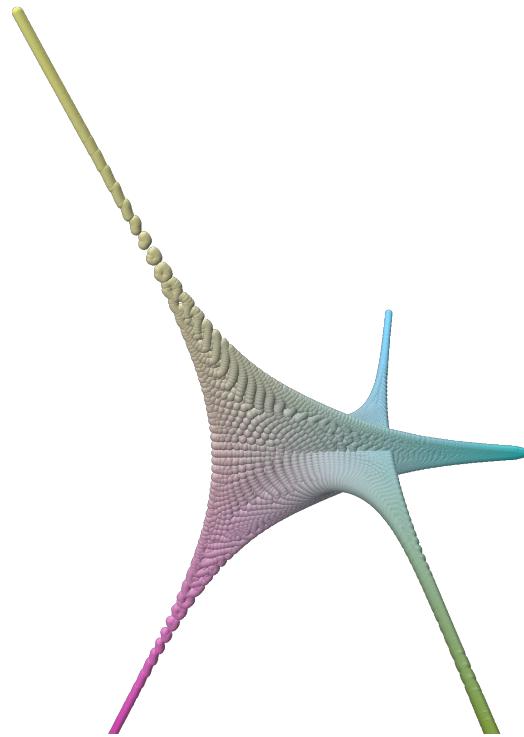

$$\begin{matrix} \text{aligns xyz coordinates} & \begin{matrix} \text{yellow point cloud} \\ \text{blue point cloud} \end{matrix} & = & \begin{matrix} \text{aligned spectral embeddings} \end{matrix} \end{matrix}$$







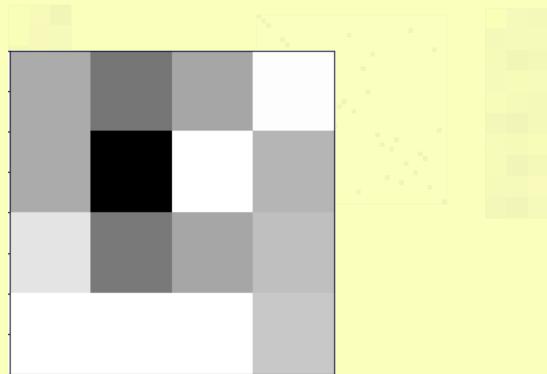




# Solution Space

Rigid  
4x4 Rt

aligns  
xyz  
coordinates



Alignment to  
correspondences

Search for  
Nearest Neighbor  
in xyz  
coordinates



$$\lambda_0 = 0$$

$$\lambda_1 = 2.6$$

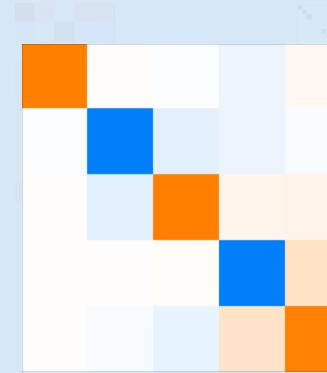
$$\lambda_2 = 3.4$$

$$\lambda_3 = 5.1$$

$$\lambda_4 = 7.6$$

Non-rigid  
kxk C

aligns  
spectral  
embeddings



Alignment to  
correspondences

Search for  
Nearest Neighbor  
In spectral  
embeddings

# 4 Applications

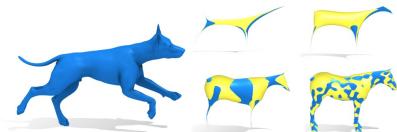
## Extensively studied for the past decade



[Rodolà et al. 2017]



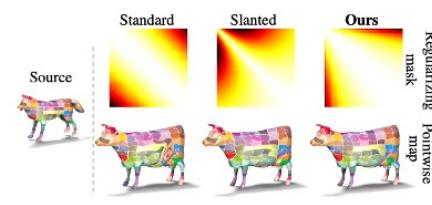
[Donati et al. 2022]



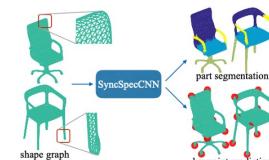
[Eisenberger et al. 2020]



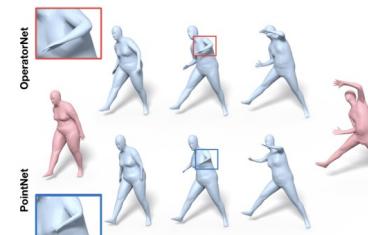
[Rustamov et al., 2013]



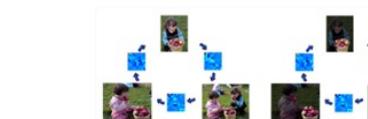
[Ren et al. 2019]



[Yi et al. 2017]



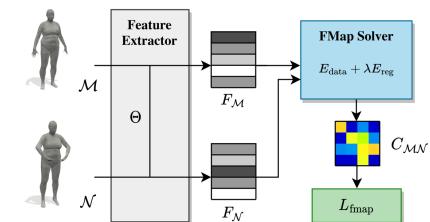
[Huang et al. 2019]



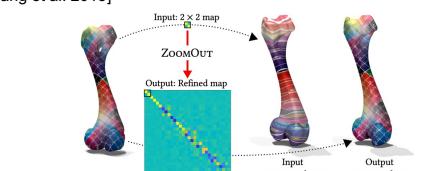
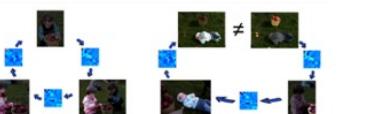
[Wang et al. 2013]



Donati et al. 2020



[Cao et al. 2023]



[Melzi et al. 2019]

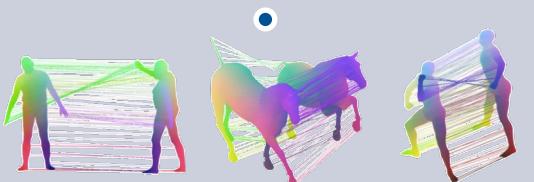
*... and more*

# Applications



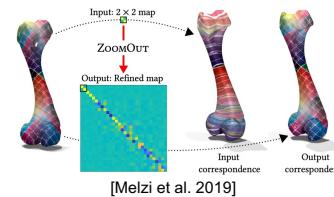
Map Refinement

2012



Shape Matching

2018



2020

2019



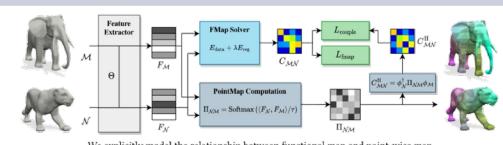
Donati et al. 2020

2017



[Litany et al. 2017]

2020



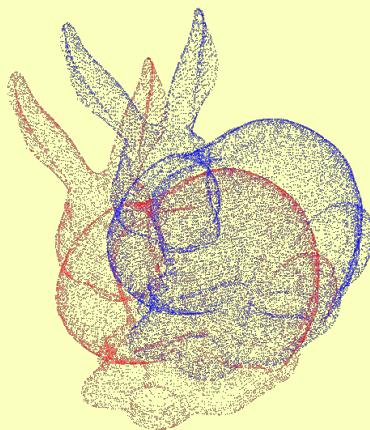
Deep Functional Maps

# Map Refinement: ICP



2012

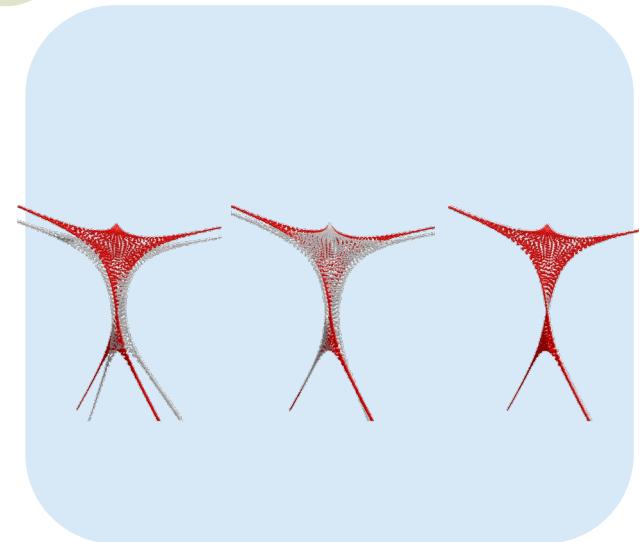
Iteration 0



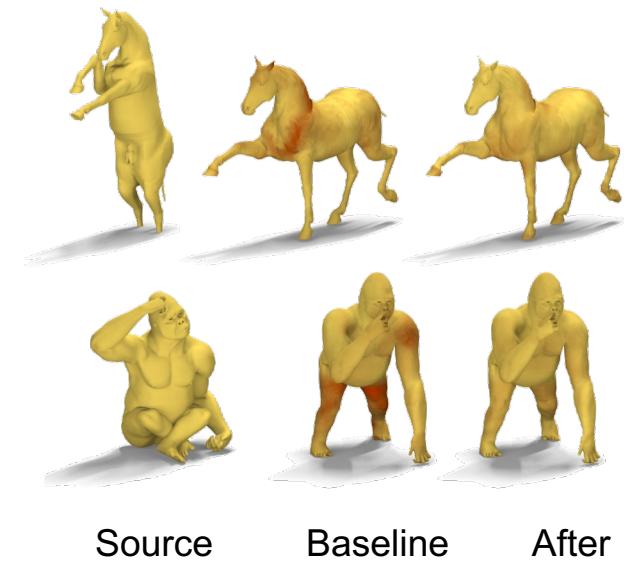
Initial Map:



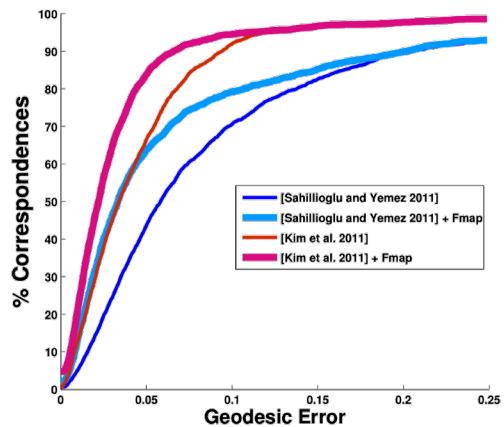
1. **Correspondence**  
(Point Map)
2. **Rigid Alignment**  
(Functional Map)



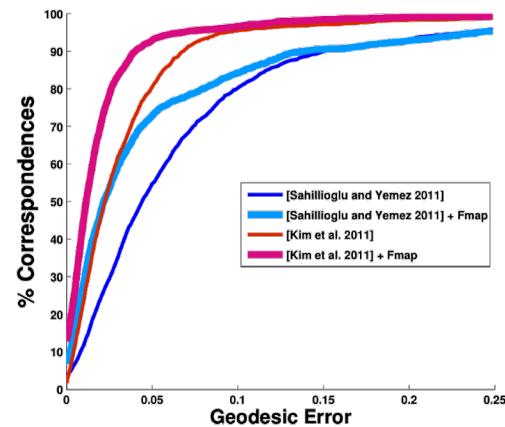
# Map Refinement: ICP



Color Error Visualization



(a) SCAPE



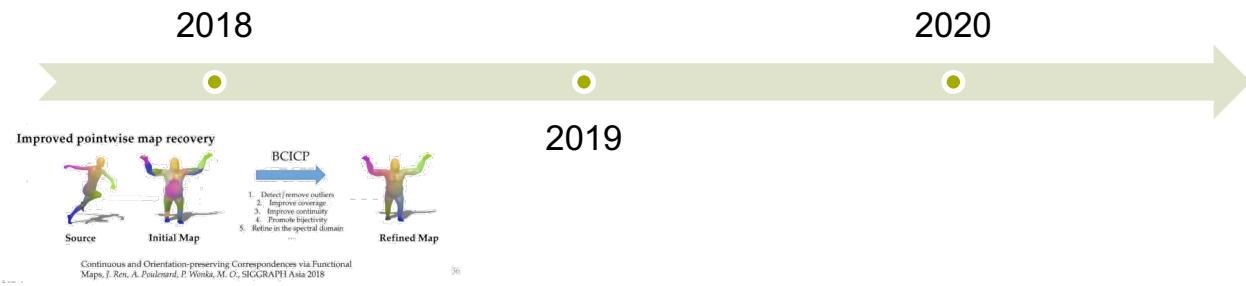
(b) TOSCA

# Map Refinement



Map Refinement

2012



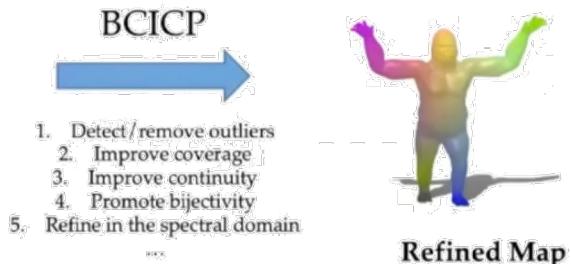
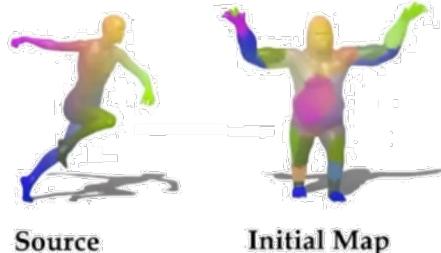
# Map Refinement



Map Refinement

2018

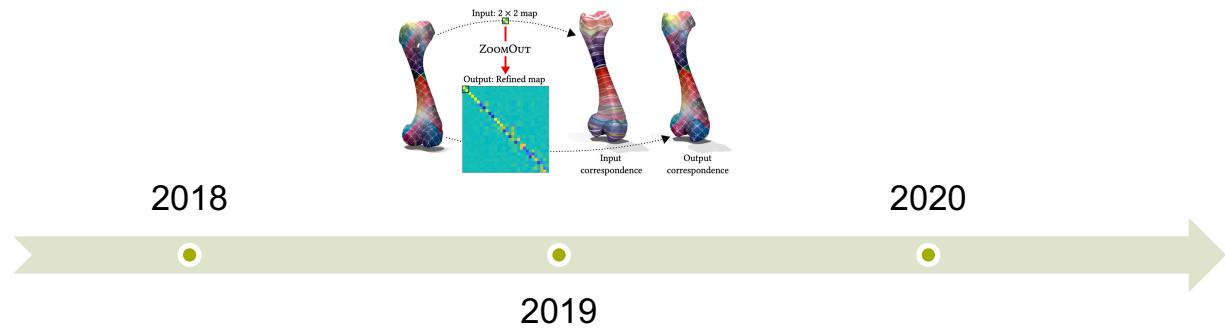
Improved pointwise map recovery



Continuous and Orientation-preserving Correspondences via Functional Maps, J. Ren, A. Poulenard, P. Wonka, M. O., SIGGRAPH Asia 2018

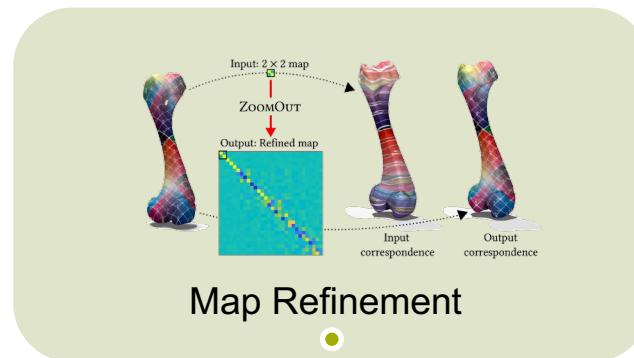
Advanced, but **complicated**

# Map Refinement

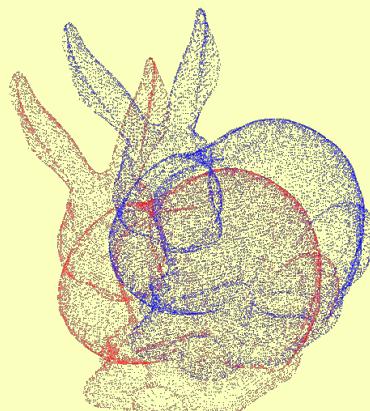


Simple, effective

# Map Refinement: ZoomOut



Iteration 0



2019

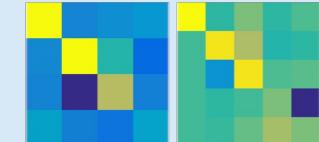
Initial Map:



**while spectrally upsampling**

1. **Correspondence**  
(Point Map)
2. **Rigid Alignment**  
(Functional Map)

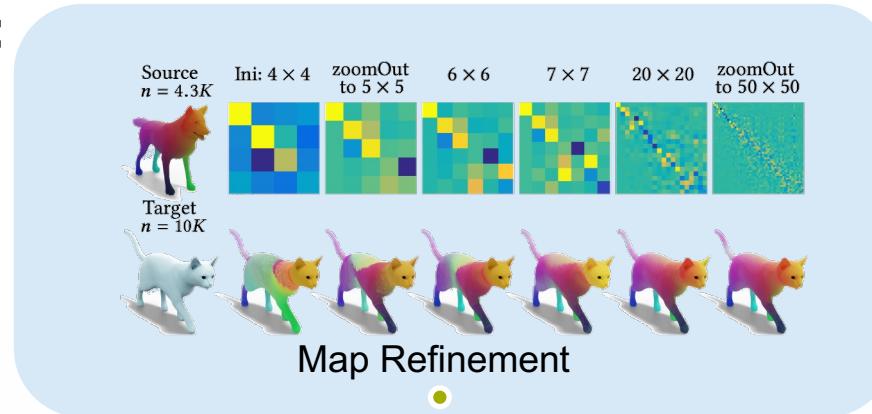
Source  
 $n = 4.3K$       Ini:  $4 \times 4$       zoomOut  
to  $5 \times 5$



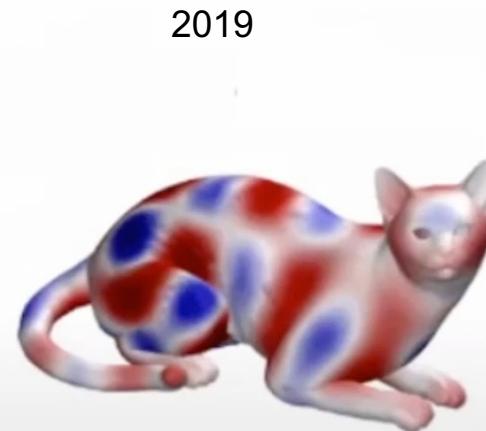
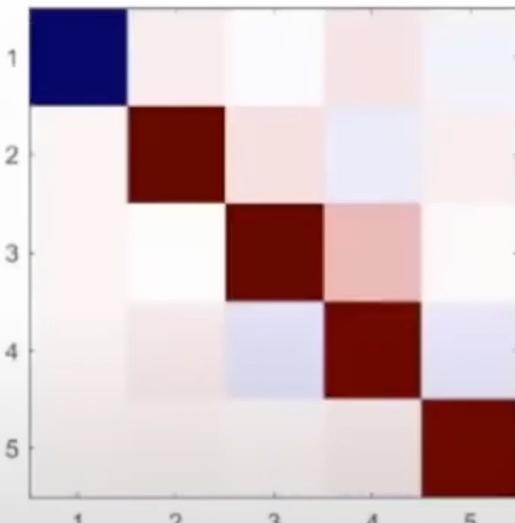
Target  
 $n = 10K$



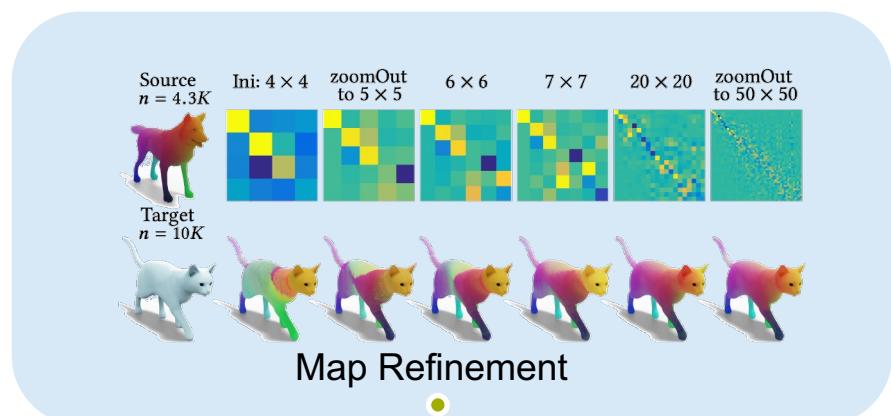
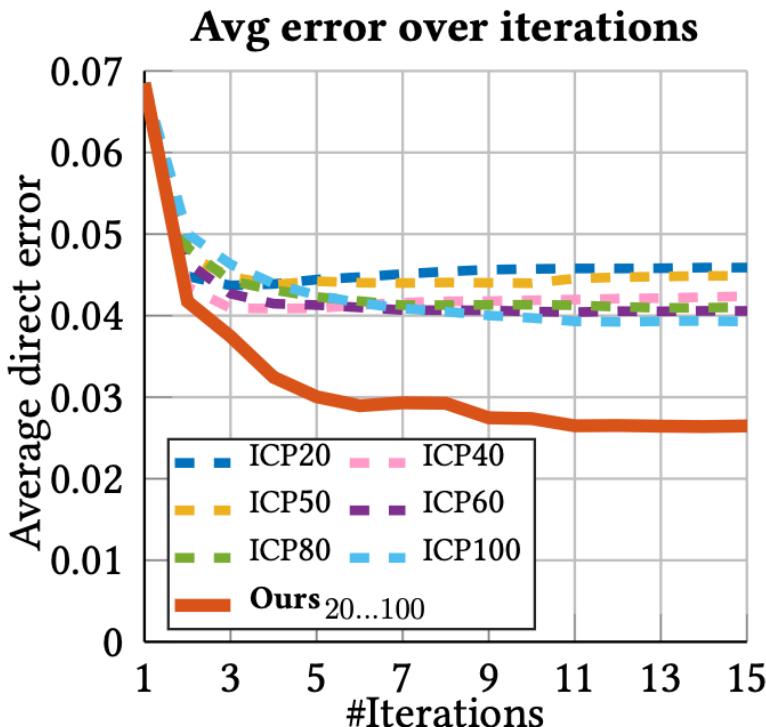
# Map Refinement: ZoomOut



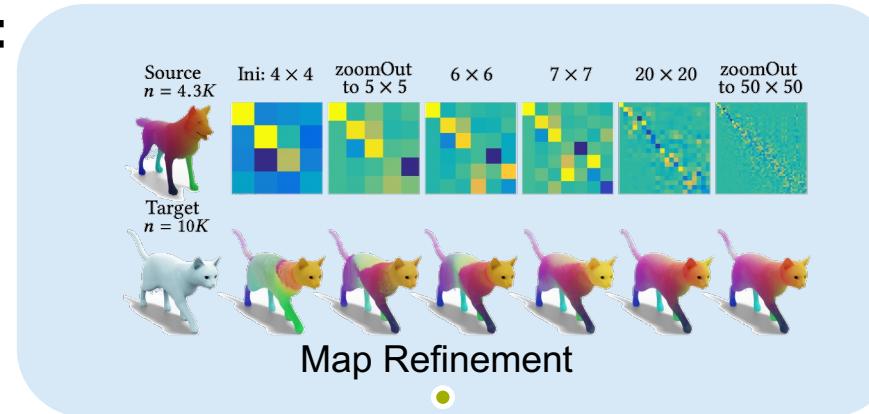
2019



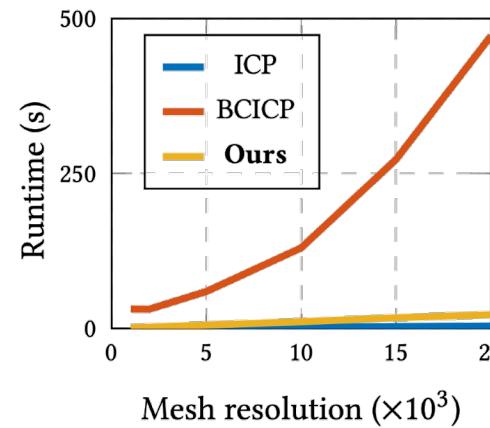
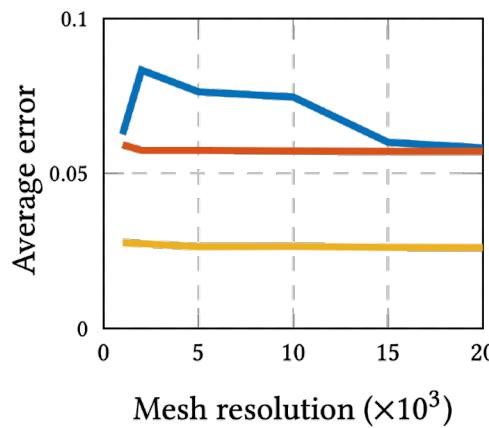
# Map Refinement: ZoomOut



# Map Refinement: ZoomOut



2019

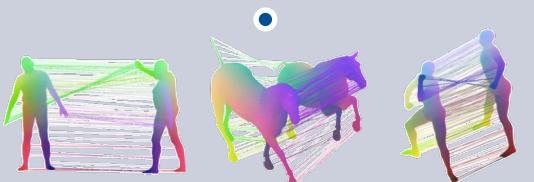


# Applications



Map Refinement

2012



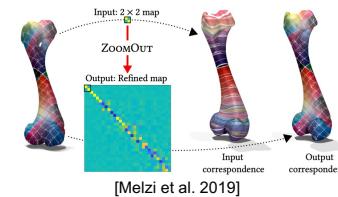
FAUST [Bogo et al. '14]

TOSCA [Bronstein et al. '08]

SCAPE [Anguelov et al. '05]

Shape Matching

2018



2019



Donati et al. 2020

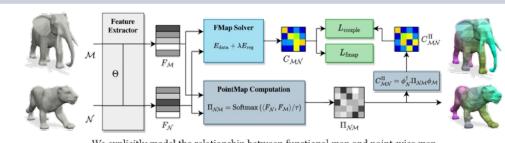
2017



[Litany et al. 2017]

2020

2020

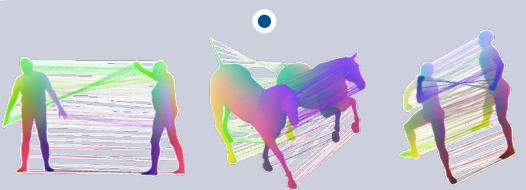


[Cao et al. 2023]

Deep Functional Maps

# Shape Matching

2012



FAUST [Bogo  
et al. '14]

TOSCA [Bronstein  
et al. '08]

SCAPE [Anguelov  
et al. '05]

Shape Matching

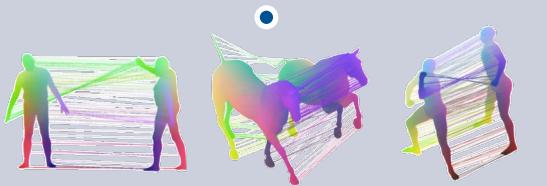
Given two shapes, find a map

$$\begin{array}{c} \left| \begin{array}{c} \textcolor{brown}{\square} \\ \textcolor{black}{\square} \\ \textcolor{gray}{\square} \end{array} \right| = \left| \begin{array}{c} \textcolor{blue}{\square} & \textcolor{white}{\square} & \textcolor{orange}{\square} \\ \textcolor{white}{\square} & \textcolor{white}{\square} & \textcolor{white}{\square} \\ \textcolor{orange}{\square} & \textcolor{white}{\square} & \textcolor{blue}{\square} \end{array} \right| \left| \begin{array}{c} \textcolor{brown}{\square} \\ \textcolor{black}{\square} \\ \textcolor{gray}{\square} \end{array} \right| \\ b = C \cdot a \end{array}$$

Translates coefficients

# Shape Matching

2012



Shape Matching

Given two shapes, find a map

Given a pair of shapes  $\mathcal{M}, \mathcal{N}$ :

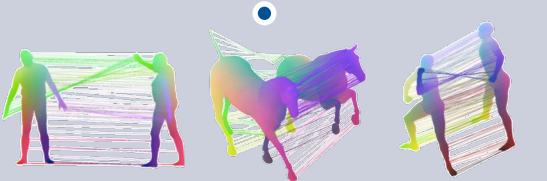
1. Compute the first  $k$  (~80-100) eigenfunctions of the Laplace-Beltrami operator. Store them in matrices:  $\Phi_{\mathcal{M}}, \Phi_{\mathcal{N}}$
2. Compute descriptor functions (e.g., Wave Kernel Signature) on  $\mathcal{M}, \mathcal{N}$ . Express them in  $\Phi_{\mathcal{M}}, \Phi_{\mathcal{N}}$ , as columns of :  $\mathbf{A}, \mathbf{B}$
3. Solve  $C_{\text{opt}} = \arg \min_C \|C\mathbf{A} - \mathbf{B}\|^2 + \|C\Delta_{\mathcal{M}} - \Delta_{\mathcal{N}}C\|^2$   
 $\Delta_{\mathcal{M}}, \Delta_{\mathcal{N}}$  : diagonal matrices of eigenvalues  
of LB operator
4. Convert the functional map  $C_{\text{opt}}$  to a point to point map  $T$ .



# Shape Matching



2012



FAUST [Bogo et al. '14]

TOSCA [Bronstein et al. '08]

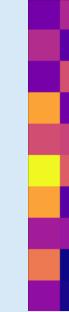
SCAPE [Anguelov et al. '05]

Shape Matching

Given two shapes, find a map



1. Feature Descriptors



2. Feature coefficients



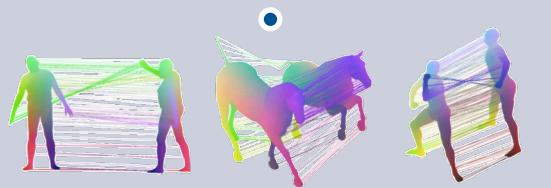
3. Solve

$$\begin{matrix} \text{color vector} \\ \text{for standing cat} \end{matrix} = \begin{matrix} \text{coefficient vector} \\ \text{for sitting cat} \end{matrix} \times \begin{matrix} \text{descriptor matrix} \end{matrix}$$

and some regularization

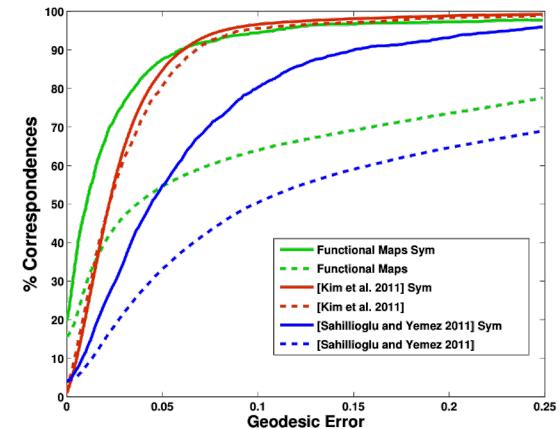
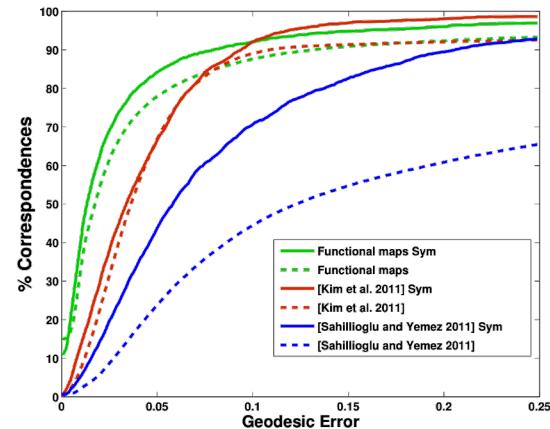
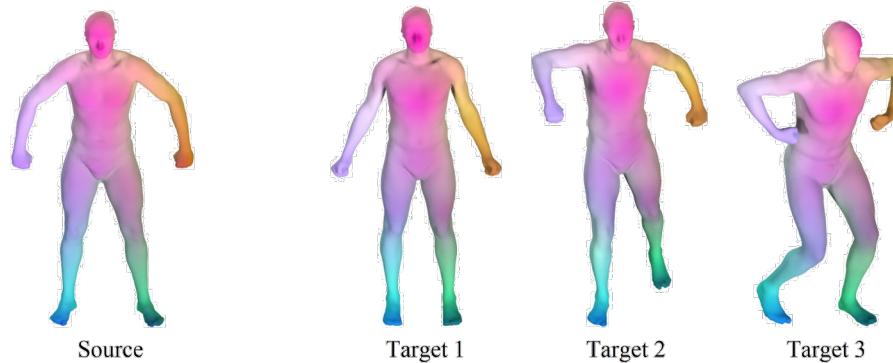
# Shape Matching

2012



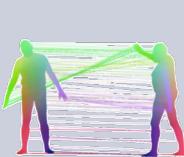
## Shape Matching

- Functional maps Sym
- - Functional maps
- [Kim et al. 2011] Sym
- - [Kim et al. 2011]
- [Sahillioglu and Yemez 2011] Sym
- - [Sahillioglu and Yemez 2011]



# Shape Matching

2012



FAUST [Bogo et al. '14]



TOSCA [Bronstein et al. '08]



SCAPE [Anguelov et al. '05]

Shape Matching

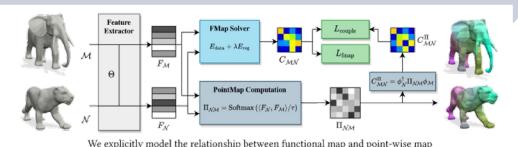
2017



2020



2023

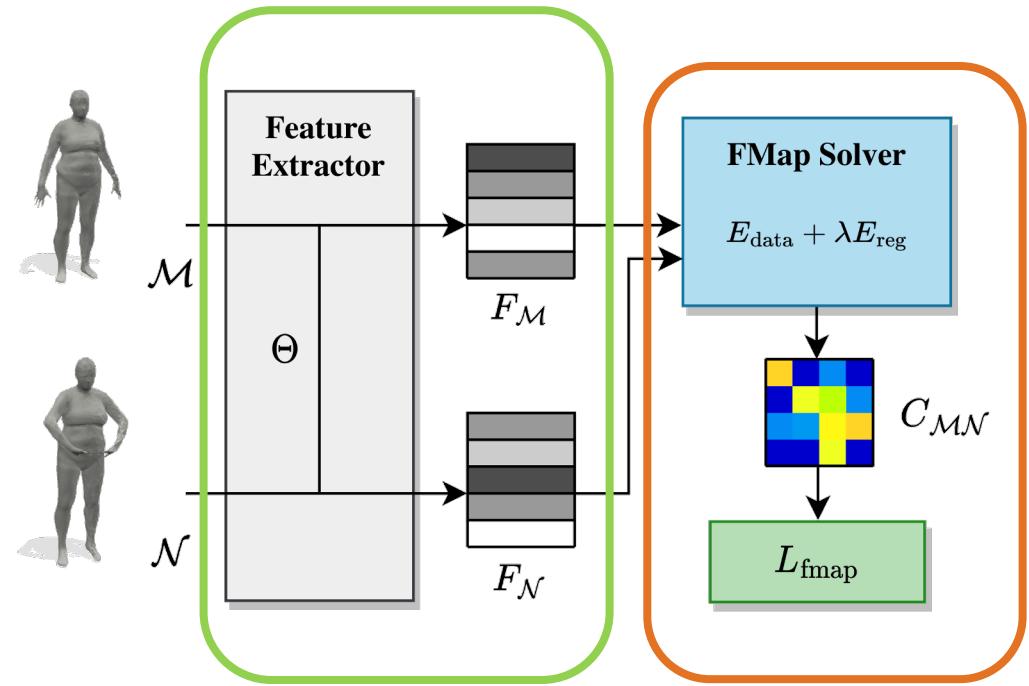
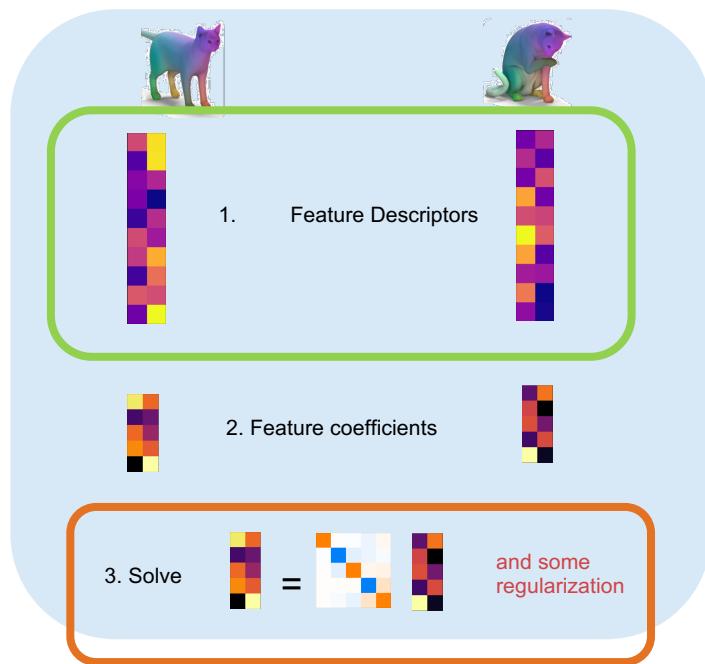


We explicitly model the relationship between functional map and point-wise map

Deep Functional Maps

Given two shapes, find a map

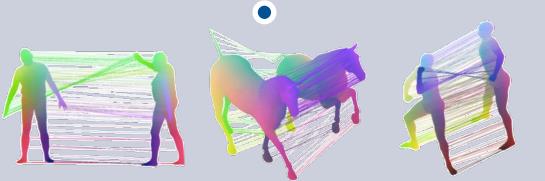
# Shape Matching



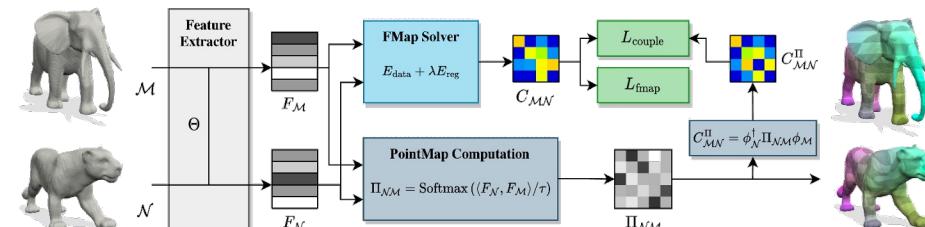
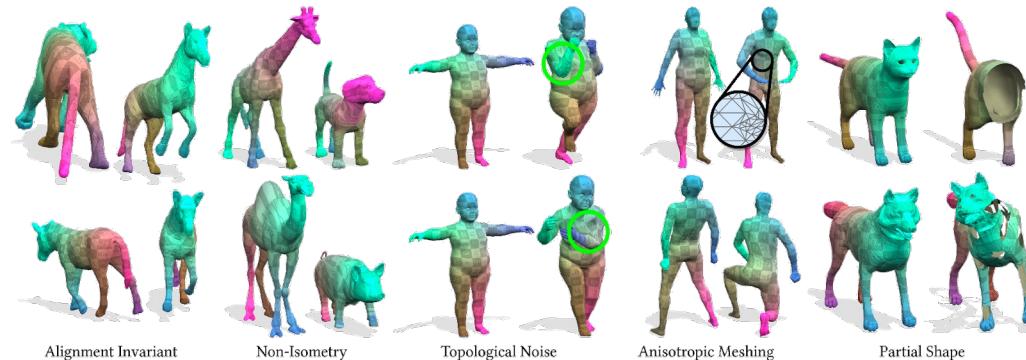
# Shape Matching

## Unsupervised Learning of Robust Spectral Shape Matching

2023



### Shape Matching



We explicitly model the relationship between functional map and point-wise map

Table 3. **Near-isometric shape matching and cross-dataset generalisation on FAUST, SCAPE and SHREC’19.** The numbers in parentheses show refined results using the indicated post-processing technique. The **best** results in each column are highlighted. Our method outperforms previous axiomatic, supervised and unsupervised methods in most settings without any post-processing techniques and demonstrates better cross-dataset generalisation ability (see columns in which *Train* and *Test* are different).

Train	FAUST			SCAPE			FAUST + SCAPE		
Test	FAUST	SCAPE	SHREC’19	FAUST	SCAPE	SHREC’19	FAUST	SCAPE	SHREC’19
Axiomatic Methods									
BCICP	6.1	11.0	-	6.1	11.0	-	6.1	11.0	-
ZoomOut	6.1	7.5	-	6.1	7.5	-	6.1	7.5	-
Smooth Shells	2.5	4.7	-	2.5	4.7	-	2.5	4.7	-
DiscreteOp	5.6	13.1	-	5.6	13.1	-	5.6	13.1	-
Supervised Methods									
FMNet (+ <i>pmf</i> )	11.0 (5.9)	30.0 (11.0)	-	33.0 (14.0)	17.0 (6.3)	-	-	-	-
3D-CODED	2.5	31.0	-	33.0	31.0	-	-	-	-
HSN	3.3	25.4	-	16.7	3.5	-	-	-	-
ACSCNN	2.7	8.4	-	6.0	3.2	-	-	-	-
GeomFMaps (+ <i>zoomout</i> )	2.6 (1.9)	3.4 (2.4)	9.9 (7.9)	3.0 (1.9)	3.0 (2.4)	12.2 (9.8)	2.6 (1.9)	2.9 (2.4)	7.9 (7.5)
TransMatch	1.7	30.4	14.5	15.5	12.0	37.5	<b>1.6</b>	11.7	10.9
Unsupervised Methods									
SURFMNet (+ <i>icp</i> )	15.0 (7.4)	32.0 (19.0)	-	32.0 (23.0)	12.0 (6.1)	-	33.0 (23.0)	29.0 (17.0)	-
UnsupFMNet (+ <i>pmf</i> )	10.0 (5.7)	29.0 (12.0)	-	22.0 (9.3)	16.0 (10.0)	-	11.0 (6.7)	13.0 (8.3)	-
WSupFMNet (+ <i>zoomout</i> )	3.8 (1.9)	4.8 (2.7)	-	3.6 (1.9)	4.4 (2.6)	-	3.6 (1.9)	4.5 (2.6)	-
Deep Shells	1.7	5.4	27.4	2.7	2.5	23.4	<b>1.6</b>	2.4	21.1
NeuroMorph	8.5	28.5	26.3	18.2	29.9	27.6	9.1	27.3	25.3
ConsistFMaps	<b>1.5</b>	3.2	19.7	3.2	2.0	28.3	1.7	3.2	17.8
DUO-FMNet	2.5	4.2	6.4	2.7	2.6	8.4	2.5	4.3	6.4
AttentiveFMaps	1.9	2.6	6.4	2.2	2.2	9.9	1.9	2.3	5.8
AttentiveFMaps-Fast	1.9	2.6	5.8	1.9	2.1	8.1	1.9	2.3	6.3
Ours	1.6	<b>2.2</b>	<b>5.7</b>	<b>1.6</b>	<b>1.9</b>	<b>6.7</b>	<b>1.6</b>	<b>2.1</b>	<b>4.6</b>

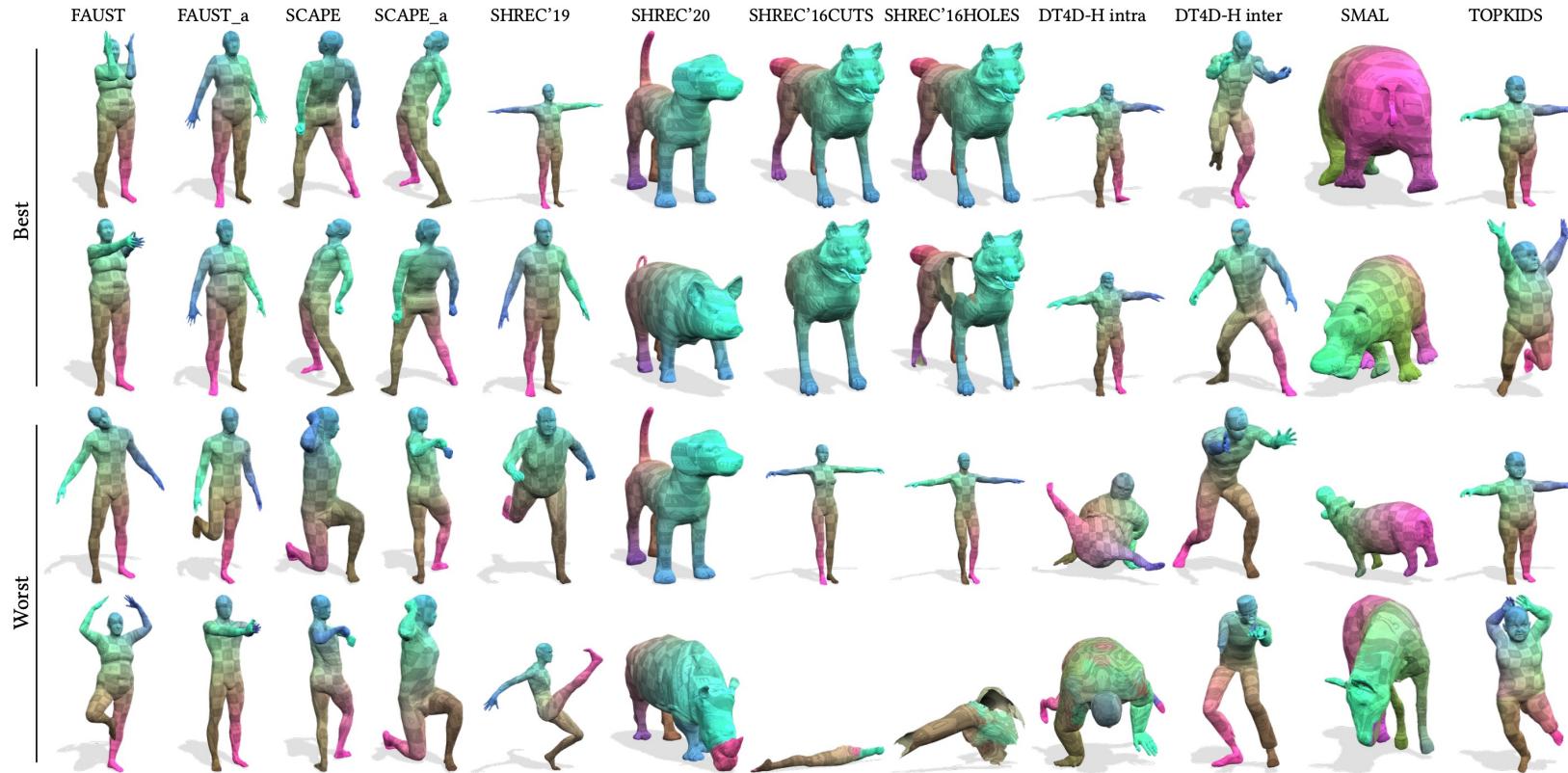
**Table 5. Topological noise on TOPKIDS.** Our method is more robust to topological noise compared to existing methods.

Geo. error ( $\times 100$ )	TOPKIDS	Fully intrinsic
Axiomatic Methods		
ZoomOut	33.7	✓
Smooth Shells	11.8	✗
DiscreteOp	35.5	✓
Unsupervised Methods		
UnsupFMNet	38.5	✓
SURFMNet	48.6	✓
WSupFMNet	47.9	✓
Deep Shells	13.7	✗
NeuroMorph	13.8	✗
ConsistFMaps	39.3	✓
AttentiveFMaps	23.4	✓
AttentiveFMaps-Fast	28.5	✓
Ours	<b>9.2</b>	✓

**Table 6. Non-isometric matching on SMAL and DT4D-H.** Our method sets to new state of the art on the SMAL dataset by a large margin. For DT4D-H inter-class matching, our method is the first unsupervised method that shows comparable performance to the state-of-the-art supervised method.

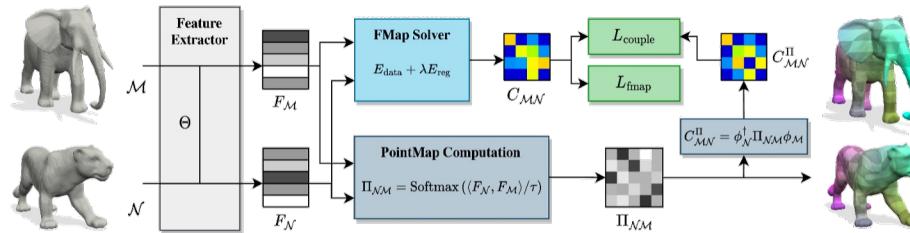
Geo. error ( $\times 100$ )	SMAL	DT4D-H	
		intra-class	inter-class
Axiomatic Methods			
ZoomOut	38.4	4.0	29.0
Smooth Shells	36.1	1.1	6.3
DiscreteOp	38.1	3.6	27.6
Supervised Methods			
FMNet	42.0	9.6	38.0
GeomFMaps	8.4	2.1	<b>4.1</b>
Unsupervised Methods			
WSupFMNet	7.6	3.3	22.6
Deep Shells	29.3	3.4	31.1
DUO-FMNet	6.7	2.6	15.8
AttentiveFMaps	5.4	1.7	11.6
AttentiveFMaps-Fast	5.8	1.2	14.6
Ours	<b>3.9</b>	<b>0.9</b>	<b>4.1</b>

# Shape Matching



Near isometries are near perfect

# Shape Matching



1. **200 basis functions**
2. **Point Map + Functional Map**
3. **Unsupervised Test Time Adaptation**
4. **Extensive evaluations**
5. **...**

**Very well  
execution**

# Shape Matching



## Failure Case



Partiality

Extreme Non-isometry

Topological Noise

# 5. Summary

## Functional Maps: A Flexible Representation of Maps Between Shapes

Maks Ovsjanikov<sup>†</sup>

Mirela Ben-Chen<sup>‡</sup>

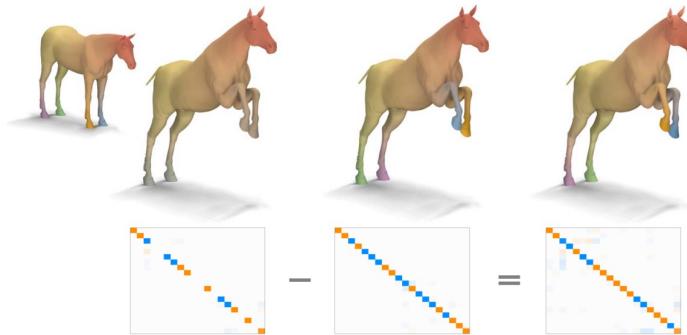
Justin Solomon<sup>‡</sup>

Adrian Butscher<sup>‡</sup>

Leonidas Guibas<sup>‡</sup>

<sup>†</sup> LIX, École Polytechnique

<sup>‡</sup> Stanford University



Small

Accurate

Efficient

Flexible

# Functional Maps

$$\begin{matrix} \text{Matrix} \\ \text{=} \end{matrix} \quad \begin{matrix} \text{Matrix} \\ \text{=} \end{matrix} \quad \begin{matrix} \text{Point Map} \\ \text{=} \end{matrix}$$

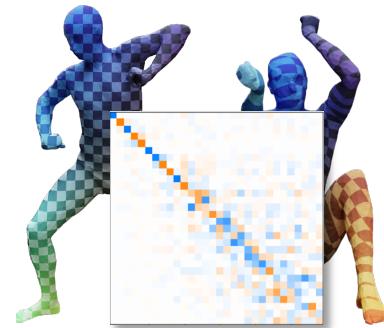
$$C = \Phi_1^\dagger \cdot P \cdot \Phi_2$$

Approximation  
of Point Map

$$\begin{matrix} \text{Matrix} \\ \text{=} \end{matrix} \quad \begin{matrix} \text{Matrix} \\ \text{=} \end{matrix} \quad \begin{matrix} \text{Matrix} \\ \text{=} \end{matrix}$$

$$C = \Phi_1^\dagger \cdot \Phi_2 a$$

Columns are **coefficients** of target basis



linear, compact  
and flexible

$$\begin{matrix} \text{Vector} \\ \text{=} \end{matrix} \quad \begin{matrix} \text{Matrix} \\ \text{=} \end{matrix} \quad \begin{matrix} \text{Vector} \\ \text{=} \end{matrix}$$

$$b = C \cdot a$$

Translates coefficients

$$\begin{matrix} \text{Matrix} \\ \text{=} \end{matrix} \quad \begin{matrix} \text{Matrix} \\ \text{=} \end{matrix}$$

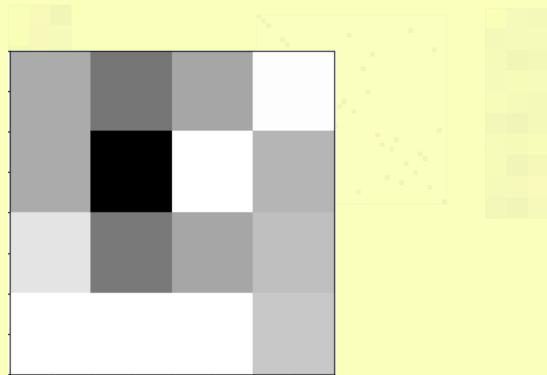
$$\Phi_1 \cdot C = \Phi_2 a$$

Aligns Bases

# Solution Space

Rigid  
4x4 Rt

aligns  
xyz  
coordinates

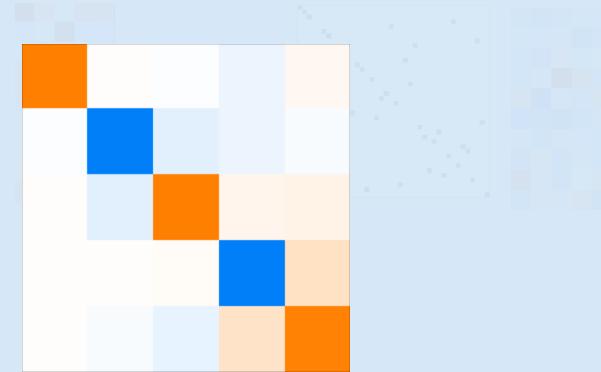


Alignment to  
correspondences

Search for  
Nearest Neighbor  
in xyz  
coordinates

Non-rigid  
kxk C

aligns  
spectral  
embeddings



Alignment to  
correspondences

Search for  
Nearest Neighbor  
In spectral  
embeddings

# Shape Matching



## Failure Case



Partiality

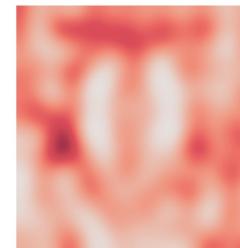
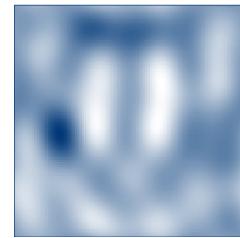
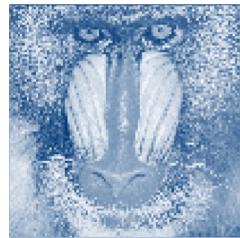
Extreme Non-isometry

Topological Noise

- 1. Partiality, Non-isometry, Topological Noise**
- 2. Non-rigid Noisy Point Cloud**
- 3. Runtime (eigen problem 1~2 seconds)**
- 4. Unsupervised feature learning**
- 5. ...**

# References

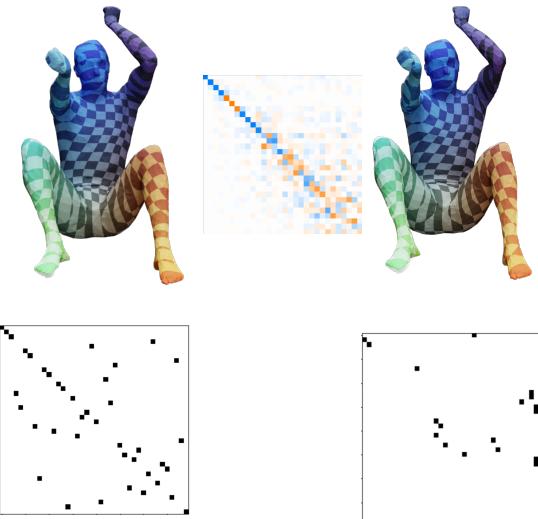
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- [Additional resources:
  - [10] Blog post on SIGGRAPH 2023 Technical Papers Awards: <https://blog.siggraph.org/2023/07/siggraph-2023-technical-papers-awards-best-papers-honorable-mentions-and-test-of-time.html>
  - [11] Tweet by Adam W. Harley: <https://twitter.com/AdamWHarley/status/1688661551744798721>
  - [12] Article on Fourier Transformation in Image Processing: <https://medium.com/crossml/fourier-transformation-in-image-processing-84142263d734>
  - [13] YouTube video on Chladni plate patterns: <https://youtu.be/wvJAgUBF4w>
  - [14] YouTube video on Iterative Closest Point: [https://www.youtube.com/watch?v=uzOCS\\_gdZuM](https://www.youtube.com/watch?v=uzOCS_gdZuM)
  - [15] Lecture notes on the Laplace-Beltrami operator: <https://brickisland.net/DDGSpring2021/2021/04/20/lecture-18-the-laplace-beltrami-operator/>
  - [16] Wikipedia page on Linear Algebra: [https://en.wikipedia.org/wiki/Linear\\_algebra](https://en.wikipedia.org/wiki/Linear_algebra)
  - [17] MIT course webpage: [https://groups.csail.mit.edu/gdpgroup/6838\\_spring\\_2021.html](https://groups.csail.mit.edu/gdpgroup/6838_spring_2021.html)

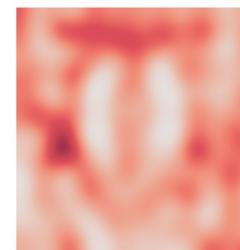
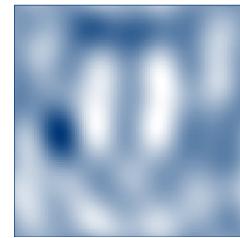
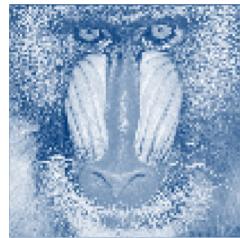


**150**  
Basis coefficients

Does it make  
sense?

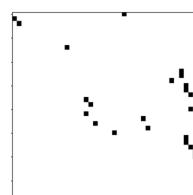
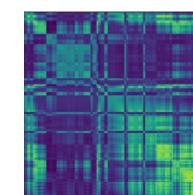
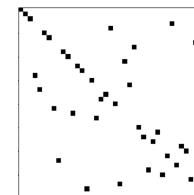
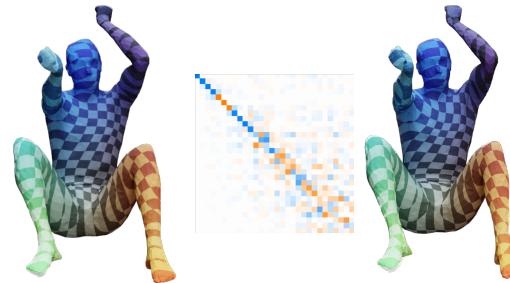
**30x30**  
functional map



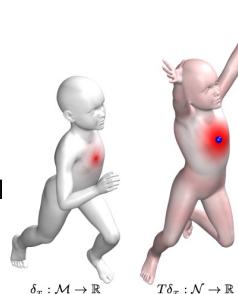


**150**  
Basis coefficients

**Does it make  
sense?**



**30x30**  
functional i



$$\delta_x : \mathcal{M} \rightarrow \mathbb{R}$$

$$T\delta_x : \mathcal{N} \rightarrow \mathbb{R}$$

Pixelized



Recovered

Hello from The other side

Original

Hello from the other side